



# SECURITIES AND EXCHANGE COMMISSION

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**The following document has been received:**

**Receiving:** AARON PAGKATIPUNAN

**Receipt Date and Time:** November 12, 2021 09:19:53 AM

## Company Information

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**SEC Registration No.:** 0000063824

**Company Name:** CTS GLOBAL EQUITY GROUP, INC.

**Industry Classification:** J66930

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST1111220218177595

**Document Type:** GENERAL\_INFORMATION\_SHEET

**Document Code:** GIS

**Period Covered:** March 31, 2021

**Submission Type:** Amendment

**Remarks:** None

**AMENDED GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2021

**STOCK CORPORATION**

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> CTS GLOBAL EQUITY GROUP, INC.			<b>DATE REGISTERED:</b> 10/22/1975
<b>BUSINESS/TRADE NAME:</b> CTS GLOBAL, CTS GLOBAL EQUITIES, CTS GLOBAL SECURITIES, CTS SECURITIES, CITISECURITIES			<b>FISCAL YEAR END:</b> December 31
<b>SEC REGISTRATION NUMBER:</b> 0000063824			<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 000-322-268-000
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> Any Date in March			<b>WEBSITE/URL ADDRESS:</b> <a href="http://www.ctsglobalgroup.com">http://www.ctsglobalgroup.com</a>
<b>ACTUAL DATE OF ANNUAL MEETING:</b> 31-Mar-21			<b>E-MAIL ADDRESS:</b>
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> 27/F East Tower, Philippine Stock Exchange Centre, Exchange Road, Ortigas Center, Pasig City			<b>FAX NUMBER:</b> 8634-6696
<b>COMPLETE BUSINESS ADDRESS:</b> 27/F East Tower, Tektite Towers (formerly Philippine Stock Exchange Centre), Exchange Road, Ortigas Center, Pasig City			
<b>OFFICIAL E-MAIL ADDRESS</b>	<b>ALTERNATE E-MAIL ADDRESS</b>	<b>OFFICIAL MOBILE NUMBER</b>	<b>ALTERNATE MOBILE NUMBER</b>
compliance@ctsglobalgroup.com	compliance@colfinancial.com	0961 078 5433	0917 836 5242
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> REYES TACANDONG & CO. - JOSEPH C. BILANGBILIN		<b>SEC ACCREDITATION NUMBER (if applicable):</b> 0207-FR-3 (Group A) / JOSEPH C. BILANGBILIN - 1778-A	<b>TELEPHONE NUMBER(S):</b> 8635-5735
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> BROKER/DEALER IN SECURITIES		<b>INDUSTRY CLASSIFICATION:</b> STOCKBROKER	<b>GEOGRAPHICAL CODE:</b>
===== INTERCOMPANY AFFILIATIONS =====			
<b>PARENT COMPANY</b>	<b>SEC REGISTRATION NO.</b>	<b>ADDRESS</b>	
	N.A.		
<b>SUBSIDIARY/AFFILIATE</b>	<b>SEC REGISTRATION NO.</b>	<b>ADDRESS</b>	
	N.A.		
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

**GENERAL INFORMATION SHEET**

**STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

**Corporate Name:**

**CTS GLOBAL EQUITY GROUP, INC.**

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**     **Yes**     **No**

**Please check the appropriate box:**

<p><b>1.</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. Banks</li> <li><input type="checkbox"/> b. Offshore Banking Units</li> <li><input type="checkbox"/> c. Quasi-Banks</li> <li><input type="checkbox"/> d. Trust Entities</li> <li><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</li> <li><input type="checkbox"/> f. Pawnshops</li> <li><input type="checkbox"/> g. Foreign Exchange Dealers</li> <li><input type="checkbox"/> h. Money Changers</li> <li><input type="checkbox"/> i. Remittance Agents</li> <li><input type="checkbox"/> j. Electronic Money Issuers</li> <li><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</li> </ul>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p><b>2.</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. Insurance Companies</li> <li><input type="checkbox"/> b. Insurance Agents</li> <li><input type="checkbox"/> c. Insurance Brokers</li> <li><input type="checkbox"/> d. Professional Reinsurers</li> <li><input type="checkbox"/> e. Reinsurance Brokers</li> <li><input type="checkbox"/> f. Holding Companies</li> <li><input type="checkbox"/> g. Holding Company Systems</li> <li><input type="checkbox"/> h. Pre-need Companies</li> <li><input type="checkbox"/> i. Mutual Benefit Association</li> <li><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</li> </ul>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p><b>3.</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> a. Securities Dealers</li> <li><input checked="" type="checkbox"/> b. Securities Brokers</li> <li><input type="checkbox"/> c. Securities Salesman</li> <li><input type="checkbox"/> d. Investment Houses</li> <li><input type="checkbox"/> e. Investment Agents and Consultants</li> <li><input type="checkbox"/> f. Trading Advisors</li> <li><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</li> <li><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</li> <li><input type="checkbox"/> i. Close-end Investment Companies</li> <li><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</li> <li><input type="checkbox"/> k. Transfer Companies and other similar entities</li> <li><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</li> <li><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</li> </ul>	<p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. acting as a formation agent of juridical persons</li> <li><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</li> <li><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</li> <li><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</li> </ul>
<p><b>7.</b> Persons who provide any of the following services:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. managing of client money, securities or other assets</li> <li><input type="checkbox"/> b. management of bank, savings or securities accounts</li> <li><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</li> <li><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</li> </ul>	<p><b>8.</b> <input type="checkbox"/> None of the above</p>
<p><b>Describe nature of business:</b></p>	

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**     **Yes**     **No**

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** CTS GLOBAL EQUITY GROUP, INC.

**CAPITAL STRUCTURE**

<b>AUTHORIZED CAPITAL STOCK</b>				
	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP) (No. of shares X Par/Stated Value)</b>
	Common	8,000,000,000	0.10	800,000,000.00
<b>TOTAL</b>		<b>8,000,000,000</b>	<b>TOTAL P</b>	<b>800,000,000.00</b>

<b>SUBSCRIBED CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
	16	Common	5,499,000,000		0.10	549,900,000.00	100%
<b>TOTAL</b>			<b>5,499,000,000</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>549,900,000.00</b>	

<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
American	1	Common	1,000,000		0.10	100,000.00	0%
<b>Percentage of Foreign Equity:</b>			<b>TOTAL 1,000,000</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>100,000.00</b>	
						<b>TOTAL SUBSCRIBED P</b>	<b>550,000,000.00</b>

<b>PAID-UP CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>	
	16	Common	5,499,000,000	0.10	549,900,000.00	100%	
<b>TOTAL</b>			<b>5,499,000,000</b>	<b>TOTAL P</b>	<b>549,900,000.00</b>		
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>	
American	1	Common	1,000,000	0.10	100,000.00	0%	
<b>0.02 %</b>			<b>TOTAL 1,000,000</b>	<b>TOTAL P</b>	<b>100,000.00</b>		
					<b>TOTAL PAID-UP P</b>	<b>550,000,000.00</b>	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:		CTS GLOBAL EQUITY GROUP, INC.						
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INCR	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. EDWARD K. LEE		N	C	M	Y	Chairman of the Board		
2. ALEXANDER C. YU		N	M	M	Y	Vice-Chairman/ Treasurer	C/C	
3. LAWRENCE C. LEE		N	M	M	Y	President & CEO		
4. HERNAN G. LIM		N	M	M	Y		A/M	
5. RAYMOND C. YU		N	M	M	Y		A/M	
6. MARTIN T. LEE		N	I	M	Y		C/M	
7. EDMUND C. LEE		N	M	M	Y	Chief Finance Officer		
8. EMMANUEL L. SAMSON		N	I	M	Y		A/C	
9. CATHERINE L. ONG		N	M	F	Y	SVP/Chief Operating Officer	N/M; C/M	
10. MICHELLE ANGELINE N. YU		N	M	F	Y			
11. DONALD R. FELBAUM		N	I	M	Y		N/C	
12. LEONARD LOUIS C. CHUA		N		M	N	Head of Global Investments		
13. TERENCE L. CHAN		N		M	N	Head of HK Investments		
14. BRYAN S. GOMEZ		N		M	N	Head of External Relations		
15. MARK JASON C. MARIPOSA		N		M	N	Head of Macroeconomics		
16. RICHARD LEMUEL U. PACHECO		N		M	N	Head of Propriety Trading		
17. JUAN CARLOS G. AQUINO		N		M	N	Associated Person		
18. LORENA E. VELARDE		N		F	N	Associated Person		
19. SHARON T. LIM		N		F	N	Corporate Secretary	N/M	
20. STEPHANIE FAYE B. REYES		N		F	N	Asst. Corp. Secretary		
21. SANIDA C. TAN		N		F	N	Asst. Corp. Secretary		

NAME/CURRENT RESIDENTIAL ADDRESS

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INCR COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION

AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
<b>CORPORATE NAME:</b>		<b>CTS GLOBAL EQUITY GROUP, INC.</b>				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		<b>17</b>	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES E.</b>		<b>17</b>	
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b>			<b>842,613,028.00</b>			
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1. EDWARD K. LEE	Common	1,679,357,960	167,935,796.00	30.53%	167,935,796.00	
	<b>TOTAL</b>	<b>1,679,357,960</b>	<b>167,935,796.00</b>			
2. ALEXANDER C. YU	Common	741,765,000	74,176,500.00	13.49%	74,176,500.00	
	<b>TOTAL</b>	<b>741,765,000</b>	<b>74,176,500.00</b>			
3. HERNAN G. LIM	Common	596,706,000	59,670,600.00	10.85%	59,670,600.00	
	<b>TOTAL</b>	<b>596,706,000</b>	<b>59,670,600.00</b>			
4. RAYMOND C. YU	Common	596,706,000	59,670,600.00	10.85%	59,670,600.00	
	<b>TOTAL</b>	<b>596,706,000</b>	<b>59,670,600.00</b>			
5. ABRAHAM A. ANG	Common	296,706,000	29,670,600.00	5.39%	29,670,600.00	
	<b>TOTAL</b>	<b>296,706,000</b>	<b>29,670,600.00</b>			
6. LYDIA C. LEE	Common	178,570,000	17,857,000.00	3.25%	17,857,000.00	
	<b>TOTAL</b>	<b>178,570,000</b>	<b>17,857,000.00</b>			
7. MARTIN T. LEE	Common	3,005,000	300,500.00	0.05%	300,500.00	
	<b>TOTAL</b>	<b>3,005,000</b>	<b>300,500.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>409,281,596.00</b>	<b>74.41%</b>		
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>409,281,596.00</b>	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		<b>CTS GLOBAL EQUITY GROUP, INC.</b>				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		<b>17</b>	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>			<b>17</b>
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		<b>842,613,028.00</b>				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNERSHIP		
8. JOSEPH C. TAN	Common	2,500	250.00	0.00%	250.00	
	<b>TOTAL</b>	<b>2,500</b>	<b>250.00</b>			
9. LAWRENCE C. LEE	Common	220,000,000	22,000,000.00	4.00%	22,000,000.00	
	<b>TOTAL</b>	<b>220,000,000</b>	<b>22,000,000.00</b>			
10. EDMUND C. LEE	Common	220,000,000	22,000,000.00	4.00%	22,000,000.00	
	<b>TOTAL</b>	<b>220,000,000</b>	<b>22,000,000.00</b>			
11. ELEANORE L. TEO	Common	200,000,000	20,000,000.00	3.64%	20,000,000.00	
	<b>TOTAL</b>	<b>200,000,000</b>	<b>20,000,000.00</b>			
12. CATHERINE L. ONG	Common	255,181,540	25,518,154.00	4.64%	25,518,154.00	
	<b>TOTAL</b>	<b>255,181,540</b>	<b>25,518,154.00</b>			
13. MICHELLE ANGELINE N. YU	Common	110,000,000	11,000,000.00	2.00%	11,000,000.00	
	<b>TOTAL</b>	<b>110,000,000</b>	<b>11,000,000.00</b>			
14. ADRIAN ALEXANDER N. YU	Common	100,000,000	10,000,000.00	1.82%	10,000,000.00	
	<b>TOTAL</b>	<b>100,000,000</b>	<b>10,000,000.00</b>			
15. JESSALYNN L. TAN	Common	300,000,000	30,000,000.00	5.45%	30,000,000.00	
	<b>TOTAL</b>	<b>300,000,000</b>	<b>30,000,000.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>140,518,404.00</b>	<b>25.55%</b>	<b>140,518,404.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
<b>INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS</b>						
<b>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</b>						

**GENERAL INFORMATION SHEET  
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> CTS GLOBAL EQUITY GROUP, INC.	
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 17	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 17
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b> 842,613,028.00	

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
16. EMMANUEL L. SAMSON	Common	1,000,000	100,000.00	0.02%	100,000.00	
	<b>TOTAL</b>	<b>1,000,000</b>	<b>100,000.00</b>			
17. DONALD R. FELBAUM	Common	1,000,000	100,000.00	0.02%	100,000.00	
	<b>TOTAL</b>	<b>1,000,000</b>	<b>100,000.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>200,000.00</b>	<b>0.04%</b>	<b>200,000.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

**INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS**

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

PLEASE PRINT LEGIBLY			
<b>CORPORATE NAME:</b> CTS GLOBAL EQUITY GROUP, INC.			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS	112,755		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS	50,000,000		
1.5 OTHERS			
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY):</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR : 15,249,292</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: None</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH			
5.2 STOCK			
5.3 PROPERTY			
<b>TOTAL</b>	<b>P</b>		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>BSP</b>	<b>IC</b>
<b>TYPE OF LICENSE/REGN.</b>	Broker/Dealer in Securities		
<b>DATE ISSUED:</b>	11/24/2020		
<b>DATE STARTED OPERATIONS:</b>	10/22/1975		
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
(None)	9	47	56

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **Stephanie Faye B. Reyes**, Assistant Corporate Secretary of **CTS Global Equity Group, Inc.** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232 ).


Done this 12<sup>th</sup> day of November 2021 in Pasig City.

  
**STEPHANIE FAYE B. REYES**  
(Signature over printed name)

**SUBSCRIBED AND SWORN TO** before me in Pasig City on 12<sup>th</sup> November 2021 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Passport No. \_\_\_\_\_ issued at \_\_\_\_\_ on \_\_\_\_\_

NOTARY PUBLIC

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**ATTY. SHARON T. LIM**  
Notary Public for the Cities of Pasig,  
San Juan and Municipality of Pateros  
Until 12-31-21/Appt. No. 103 (2020-2021)  
2703C East Tower, Phil. Stock Exchange Centre,  
Exchange Rd., Ortigas Center, Pasig City 1605  
Roll No. 53601/IBP No. 135384/12-17-20/RSM  
PTR No. 5242826/01-05-21/Pasig City  
MCLE Compliance No. VI-0010529/04-14-22/Pasig