



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
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**The following document has been received:**

**Receiving:** Ryan Piramide

**Receipt Date and Time:** May 23, 2025 05:58:51 PM

## Company Information

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**SEC Registration No.:** 0000063824

**Company Name:** CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

**Industry Classification:** J66930

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10523202583401162

**Document Type:** GENERAL\_INFORMATION\_SHEET

**Document Code:** GIS

**Period Covered:** May 09, 2025

**Submission Type:** Annual Meeting

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

GENERAL INFORMATION SHEET (GIS)			
FOR THE YEAR 2025			
STOCK CORPORATION			
<b>GENERAL INSTRUCTIONS:</b> 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING. 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED. 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION. 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE. 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED. 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS			
===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: <div style="text-align: center;">CTS GLOBAL EQUITY GROUP, INC.</div>			DATE REGISTERED: <div style="text-align: center;">10/22/1975</div>
BUSINESS/TRADE NAME: <div style="text-align: center;">CTS GLOBAL, CTS GLOBAL EQUITIES, CTS GLOBAL SECURITIES, CTS SECURITIES, CITISECURITIES</div>			FISCAL YEAR END:
SEC REGISTRATION NUMBER: <div style="text-align: center;">0000063824</div>			December 31
DATE OF ANNUAL MEETING PER BY-LAWS: <div style="text-align: center;">Any Date in May</div>			CORPORATE TAX IDENTIFICATION NUMBER (TIN) <div style="text-align: center;">000-322-268-000</div>
ACTUAL DATE OF ANNUAL MEETING: <div style="text-align: center;">09-May-25</div>			WEBSITE/URL ADDRESS: <div style="text-align: center;">http://www.ctsglobalgroup.com</div>
COMPLETE PRINCIPAL OFFICE ADDRESS: <div style="text-align: center;">27/F East Tower, Philippine Stock Exchange Centre, Exchange Road, Ortigas Center, Pasig City</div>			E-MAIL ADDRESS:
COMPLETE BUSINESS ADDRESS: 27/F East Tower, Tektite Towers (formerly Philippine Stock Exchange Centre), Exchange Road, Ortigas Center, Pasig City			FAX NUMBER: <div style="text-align: center;">8634-6696</div>
OFFICIAL E-MAIL ADDRESS <div style="text-align: center;">compliance@ctsglobalgroup.com</div>	ALTERNATE E-MAIL ADDRESS <div style="text-align: center;">compliance@colfinancial.com</div>	OFFICIAL MOBILE NUMBER <div style="text-align: center;">0961 078 5433</div>	ALTERNATE MOBILE NUMBER <div style="text-align: center;">0917 830 4944</div>
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: <div style="text-align: center;">REYES TACANDONG &amp; CO. Mark Christian M. Ababa</div>		SEC ACCREDITATION NUMBER (if applicable): <div style="text-align: center;">Reyes Tacandong &amp; Co. - 4782-SEC (Group A)</div>	TELEPHONE NUMBER(S): <div style="text-align: center;">8635-5735</div>
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: <div style="text-align: center;">BROKER/DEALER IN SECURITIES</div>		INDUSTRY CLASSIFICATION: <div style="text-align: center;">STOCKBROKER</div>	GEOGRAPHICAL CODE:
===== INTERCOMPANY AFFILIATIONS =====			
PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS	
	N.A.		
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS	
	N.A.		
NOTE: USE ADDITIONAL SHEET IF NECESSARY			



## GENERAL INFORMATION SHEET

## STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name:

CTS GLOBAL EQUITY GROUP, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) ☒ Yes ☐ No

Please check the appropriate box:

1.		4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals	
<input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.		5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone	
2.		6. Company service providers which, as a business, provide any of the following services to third parties:	
<input type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)		<input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons  <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement  <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person	
3.		7. Persons who provide any of the following services:	
<input checked="" type="checkbox"/> a. Securities Dealers <input checked="" type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman  <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)		<input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities	
		8. <input type="checkbox"/> None of the above	
		Describe nature of business:	
B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?		<input checked="" type="radio"/> Yes <input type="radio"/> No	



# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:		CTS GLOBAL EQUITY GROUP, INC.					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	Common	8,000,000,000	0.10	800,000,000.00			
TOTAL		8,000,000,000	TOTAL P	800,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	3	Common	6,779,870,500		0.10	677,987,050.00	98.62%
TOTAL		6,779,870,500	TOTAL	TOTAL P	677,987,050.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Various	1	Common	95,129,500		0.10	9,512,950.00	1.38%
Percentage of Foreign Equity :		TOTAL	95,129,500	TOTAL	TOTAL P	9,512,950.00	
				TOTAL SUBSCRIBED	P	687,500,000.00	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	3	Common	6,779,870,500	0.10	677,987,050.00	98.62%	
TOTAL		6,779,870,500	TOTAL	P	677,987,050.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
Various	1	Common	95,129,500	0.10	9,512,950.00	1.38%	
1.38 %		TOTAL	95,129,500	TOTAL	P	9,512,950.00	
				TOTAL PAID-UP	P	687,500,000.00	
NOTE: USE ADDITIONAL SHEET IF NECESSARY							
* Common, Preferred or other classification							
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.							

# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: CTS GLOBAL EQUITY GROUP, INC.								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. EDWARD K. LEE		N	C	M	Y	Chairman of the Board		
2. ALEXANDER C. YU		N	M	M	Y	Vice-Chairman/ Treasurer	C/C	
3. LAWRENCE C. LEE		N	M	M	Y	President & CEO		
4. HERNAN G. LIM		N	M	M	Y		A/M	
5. RAYMOND C. YU		N	M	M	Y		A/M	
6. MARTIN T. LEE		N	I	M	Y		C/M	
7. EDMUND C. LEE		N	M	M	Y	Chief Finance Officer		
8. EMMANUEL L. SAMSON		N	I	M	Y		A/C	
9. CATHERINE L. ONG		N	M	F	Y	SVP/Chief Audit Executive	N/M; C/M	
10. MICHELLE ANGELINE N. YU		N	M	F	Y			
11. DONALD R. FELBAUM		N	I	M	Y		N/C	
12. LEONARD LOUIS C. CHUA		N		M	Y	Head of Global Investments		
13. TERENCE L. CHAN		N		M	Y	Head of HK Investments		
14. BRYAN S. GOMEZ		N		M	Y	Head of External Relations		
15. MARK JASON C. MARIPOSA		N		M	Y	Head of Macroeconomics		
16. RICHARD LEMUEL U. PACHECO		N		M	Y	Head of Proprietary Trading		
17. JUAN CARLOS G. AQUINO		N		M	Y	Associated Person		
18. LORENA E. VELARDE		N		F	Y	Associated Person		
19. AILAH D. VERGARA		N		F	N	Associated Person		
20. SHARON T. LIM		N		F	Y	Corporate Secretary	N/M	
21. STEPHANIE FAYE B. REYES		N		F	Y	Asst. Corp. Secretary		
22. SANIDA C. TAN		N		F	Y	Asst. Corp. Secretary		
23.								

NAME/CURRENT RESIDENTIAL ADDRESS

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.  
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
<b>CORPORATE NAME:</b>		<b>CTS GLOBAL EQUITY GROUP, INC.</b>				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		4		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		4
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b>				2,277,558,219.00		
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
1. PCD NOMINEE CORPORATION  Filipino  Edward K. Lee  Alexander C. Yu  Hernan G. Lim  Raymond C. Yu	Common	3,181,178,040	318,117,804.00	46.27%	677,986,400.00	
		1,659,130,960	165,913,096.00	24.13%		
		746,143,000	74,614,300.00	10.85%		
		596,706,000	59,670,600.00	8.68%		
		596,706,000	59,670,600.00	8.68%		
	<b>TOTAL</b>	<b>6,779,864,000</b>	<b>677,986,400.00</b>	<b>98.62%</b>		
2. PCD NOMINEE CORPORATION	Common	95,129,500	9,512,950.00	1.38%	9,512,950.00	
	<b>TOTAL</b>	<b>95,129,500</b>	<b>9,512,950.00</b>			
3. ESTATE OF JOSEPH C. TAN	Common	2,500	250.00	0.00%	250.00	
	<b>TOTAL</b>	<b>2,500</b>	<b>250.00</b>			
4. JENNIFER T. RAMOS	Common	4,000	400.00	0.00%	400.00	
	<b>TOTAL</b>	<b>4,000</b>	<b>400.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			687,500,000.00	<b>100.00%</b>	<b>687,500,000.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME:</b> CTS GLOBAL EQUITY GROUP, INC.			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS	65,382,724.00		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR : Php119,831,530.00</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH	Regular: P0.000669; Special: P0.001971	05/10/2024	
5.2 STOCK			
5.3 PROPERTY			
<b>TOTAL</b>	Php0.002640 per share		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>B S P</b>	<b>I C</b>
<b>TYPE OF LICENSE/REGN.</b>	Broker/Dealer in Securities		
<b>DATE ISSUED:</b>	11/28/2023		
<b>DATE STARTED OPERATIONS:</b>	10/22/1975		
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
115,000.00	11	60	71

NOTE: USE ADDITIONAL SHEET IF NECESSARY




I, **Sharon T. Lim**, Corporate Secretary of **CTS Global Equity Group, Inc.** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.


I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this 22<sup>nd</sup> day of May 2025 in Pasig City.

  
**SHARON T. LIM**  
(Signature over printed name)

**SUBSCRIBED AND SWORN TO** before me in Pasig City on 22<sup>nd</sup> May 2025 by affiant who personally appeared before me and exhibited to me her

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**ATTY. LEANNE CLAIRE M. BOOL**  
Notary Public within and for the City of Pasig  
Expiring on 31 December 2026  
Appointment No. 214 (2025-2026)  
Roll of Attorneys No. 81056  
IBP No. 485290/12.19.24/Bulacan  
PTR No. 3051457/01.14.25/Pasig City  
MCLE Compliance No. VIII-0019616/04.14.28  
2703C East Tower, Tektite Towers, Exchange Road,  
Ortigas Center, Pasig City 1605