

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

**CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER**

1. **14 March 2025**

Date of Report (Date of earliest event reported)

2. SEC Identification Number **63824** 3. BIR Tax Identification No. **322268**

4. **CTS Global Equity Group, Inc.**

Exact name of issuer as specified in its charter

5. **Manila, Philippines**

Province, country or other jurisdiction
of incorporation

6. (SEC Use Only)

Industry Classification Code:

7. **27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605**

Address of principal office

Postal Code

8. **+63286355735**

Issuer's telephone number, including area code

9. **Not Applicable**

Former name or former address, if changed since last report

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock
Outstanding and Amount of Debt
Outstanding

Common Shares

6,875,000,000

11. Indicate the item numbers reported herein: **Item #9**

Item 9. Other Events

Please be informed that at the meeting of the Board of Directors of CTS Global Equity Group, Inc. held today, 14 March 2025, the Board approved and authorized the release of its Audited Financial Statements for the period ended December 31, 2024.

Thank You.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.
Issuer

14 March 2025
Date

(originally signed)

.....
JUAN CARLOS G. AQUINO
Associated Person



SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



The following document has been received:

Receiving: RICHMOND CARLOS AGTARAP

Receipt Date and Time: April 15, 2025 11:06:32 AM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST10415202583172436

Document Type: Current Report

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Period Covered: April 15, 2025

Submission Type: Original Filing

Remarks: None

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Number of Shares of Common Stock
Outstanding and Amount of Debt Outstanding

Common Shares

6,875,000,000

11. Indicate the item numbers reported herein: **Item #9**

Item 9. Other Events

CTS Global Equity Group, Inc. ("Company") submits its Comprehensive Report on the Progress of its Business Plan as of 31 March 2025.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.
Issuer

15 April 2025
Date



.....
Juan Carlos G. Aquino
Associated Person

15 April 2025

The Philippine Stock Exchange, Inc.

6/F PSE Tower
 5th Avenue corner 28th Street
 Bonifacio Global City, Taguig

Attention: **Atty. Johanne Daniel Negre**
 Officer-in-Charge, Disclosure Department

Subject: **Comprehensive Report on Progress of Business Plan**
(as of 31 March 2025)

Dear Atty. Negre,

In compliance with the Amended Listing Rules, please see below the comprehensive report on the progress of the business plan of CTS Global Equity Group, Inc.

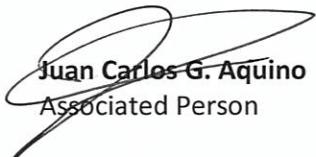
2022	Trader Scaling	Trader Upgrade Roadmapping
		<p>The upgrading program has rolled out, increasing individual traders' portfolio sizes based on performance and capacity.</p> <p>The Company takes importance in maximizing its traders' capacity coupled with prudent risk management.</p>



	Recruitment	<p>Caylum Trading Institute</p> <p>Caylum Trading Institute, CTS Global's affiliate company, has been its main source of recruitment. After halting its physical programs from the COVID-19 pandemic, Caylum's recruitment program was held last August 2022. This is expected to bring in trader headcount as targeted by the company.</p> <p>CTS Global Internship Program</p> <p>The 2022 CTS Global Internship program accepted 24 university students in its hybrid internship program as one of its initiatives to recruit talent from the country's top universities, both from Metro Manila and the provinces.</p>
2023	Client Accounts Management	The development of the Company's Clients Accounts Management business is still ongoing.
2025	25-75 Philippines-Global Geographical Revenue Share	<p>As per CTS Global's disclosure last 04 July 2022, the company has started deploying in the Indonesia markets to capitalize on its attractive opportunities.</p> <p>This is a strategic step towards the company's longer term target of increasing the revenue share from its global trading operations.</p>

We trust that you will find the foregoing report in order. Thank you.

Very truly yours,



Juan Carlos G. Aquino
Associated Person



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Receipt Date and Time: April 15, 2025 12:34:22 PM

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SEC Registration No.: 0000063824

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Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST10415202583173043

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Item 9. Other Events

CTS Global Equity Group, Inc. ("Company") submits the attached report on the application of the Initial Public Offering ("Offer") of 1,375,000,000 primary offer shares for the quarter ended 31 March 2025.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.

Issuer



Juan Carlos G. Aquino
Associated Person

15 April 2025

Date



CTS GLOBAL EQUITY GROUP, INC.
2701-B East Tower, Tektite Towers, Exchange Road,
Ortigas Center Pasig City 1605 Philippines
Trading Floor: (+632) 8-634-6976 Facsimile: (+632) 8-634-6696
Office: (+632) 8-635-5735 to 37
Helpdesk: (+632) 8-635-5735 Loc 407 to 408, inquiries@ctsglobalgroup.com
Website: www.ctsglobalgroup.com
PSE Trading Participant, SCCP & SIPF Member
Regulated by the SEC, Markets and Securities Regulation Department (msrdsSubmission@sec.gov.ph)

April 15, 2025

PHILIPPINE STOCK EXCHANGE, INC.
6/F PSE Tower, 5th Avenue corner 28th Street
Bonifacio Global City, Taguig City

Attention: **Atty. Johanne Daniel M. Negre**
Officer-in-Charge, Disclosure Department

Subject: **Quarterly Progress Report on the Application of Proceeds from the IPO**

Ladies and Gentlemen:

In line with the Initial Public Offering (IPO) of CTS Global Equity Group, Inc. on April 13, 2022, we submit herewith our report on the application of the IPO proceeds from the 1,375,000,000 primary offer shares for the quarter ended March 31, 2025:

Gross IPO Proceeds (1,375,000,000 shares at PhP 1.00 per share)	PhP	1,375,000,000
Less: Expenses related to the public offering		
Underwriting and selling fees		14,263,158
Listing fees		2,596,743
Professional fees		2,506,804
Documentary stamp tax		1,375,000
Other expenses		986,760
Net Offering Proceeds	PhP	1,353,271,535
Less: Disbursements		
Disbursements incurred as of December 31, 2024		
Scaling of global trading operations	561,794,345	
Client account management expansion	—	
General corporate purposes	10,293,548	572,087,893
Disbursements incurred for the quarter ended March 31, 2025		
Scaling of global trading operations	121,497,288	
Client account management expansion	—	
General corporate purposes	—	121,497,288
Total Disbursements as of March 31, 2025	PhP	693,585,181
Balance of IPO Proceeds as of March 31, 2025	PhP	659,686,354

We hope you find everything in order.

Very truly yours,

CTS GLOBAL EQUITY GROUP, INC.

By:

A handwritten signature in black ink, appearing to read "Edmund C. Lee".

Edmund C. Lee
Chief Finance Officer



REPORT ON FACTUAL FINDINGS

THE BOARD OF DIRECTORS
CTS GLOBAL EQUITY GROUP, INC.
27/F East Tower, Tektite Towers
Exchange Road, Ortigas Center
Pasig City

Purpose of this Agreed-Upon Procedures Report

Our report is solely for the purpose of enabling the CTS Global Equity Group, Inc. (the Company) to comply with the Philippine Stock Exchange Inc.'s (PSE) requirement to submit an external auditor's certification on the information presented by the Company in the attached "Quarterly Progress Report as at March 31, 2025 on the Application of the Proceeds from the Initial Public Offering (IPO)" (the Quarterly Progress Report or the Subject Matter) dated April 15, 2025.

On November 5, 2021, the stockholders and the Board of Directors (BOD) of the Company authorized the Company to undertake the IPO of its shares with the PSE. Subsequently, on March 16, 2022 and March 25, 2022, the PSE and the Securities and Exchange Commission (SEC) approved the Company's application for the IPO, respectively.

The shares of stock of the Company were officially listed with the PSE on April 13, 2022. The Company listed 1,375,000,000 common shares at an offer price of ₱1.00 a share.

The proceeds from the IPO, net of IPO expenses, will be used by the Company for the (i) scaling of global trading operations, (ii) client account management expansion, and (iii) general corporate purposes as disclosed in the Company's Final Prospectus dated March 25, 2022.

Restriction on Use

This agreed-upon procedure report is intended solely for the information and use of the Company and the PSE, and should not be used by, or distributed to, any other parties.

Responsibilities of the Company

The Company has acknowledged that the agreed-upon procedures are appropriate for the purpose of the engagement.

The Company is responsible for the Subject Matter on which the agreed-upon procedures are performed. The sufficiency of these procedures is solely the responsibility of the Company.

Practitioner's Responsibilities

We have conducted the agreed-upon procedures engagement in accordance with the Philippine Standard on Related Services (PSRS) 4400 (Revised), *Agreed-Upon Procedures Engagements*. An agreed-upon procedures engagement involves performing the procedures that have been agreed with the Company, and reporting the findings, which are the factual results of the agreed-upon procedures performed. We make no representation regarding the appropriateness, or the sufficiency of the agreed-upon procedures described below either for the purpose for which this report has been requested or for any other purpose.

This agreed-upon procedures engagement is not an assurance engagement. Accordingly, we do not express an opinion or an assurance conclusion. Had we performed additional procedures, other matters might have come to our attention that would have been reported.

Professional Ethics and Quality Control

We have complied with the ethical requirements in the Code of Ethics for Professional Accountants in the Philippines (Code of Ethics). We are not required to be independent for the purpose of this engagement. We are the independent auditor of the Company and complied with the independence requirements of the Code of Ethics that apply in context of the financial statement audit.

Our firm applies Philippine Standard on Quality Management 1, *Quality Management for Firms that Perform Audits and Reviews of Financial Statements, and Other Assurance and Related Services Engagements*, and accordingly maintains a comprehensive system of quality control including documented policies and procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

Procedures and Findings

We have performed the procedures described below, which were agreed upon with the Company, with respect to the attached Quarterly Progress Report dated April 15, 2025. These agreed-upon procedures and results thereof are summarized as follows:

1. We obtained the Company's Quarterly Progress Report as at March 31, 2025. A comparison of the actual disbursements and the planned use of the proceeds from the IPO as at March 31, 2025 is as follows:

	Actual				
	Balance as at December 31, 2024	Movements during the Quarter	Balance as at March 31, 2025	Planned	Balance
Gross Proceeds	₱1,375,000,000	₱-	₱1,375,000,000	₱1,375,000,000	₱-
IPO expenses	(21,728,465)	–	(21,728,465)	(21,728,465)	–
Net Proceeds	1,353,271,535	–	1,353,271,535	1,353,271,535	–
Use of Proceeds					
Scaling of global trading operations	(561,794,345)	(121,497,288)	(683,291,633)	(1,233,271,535)	549,979,902
Client account management expansion	–	–	–	(20,000,000)	20,000,000
General corporate purposes	(10,293,548)	–	(10,293,548)	(100,000,000)	89,706,452
	(572,087,893)	(121,497,288)	(693,585,181)	(1,353,271,535)	659,686,354
Unapplied Proceeds	₱781,183,642	(₱121,497,288)	₱659,686,354	₱-	₱659,686,354

2. The Company has no additional disbursements for general corporate purposes during the quarter ended March 31, 2025. Actual disbursements aggregated ₦10,293,548 as at March 31, 2025.

We examined the underlying documents supporting the actual disbursements, which include, among others, invoices, check vouchers, and official receipts. No exceptions were noted.

We reviewed the details of the fund transfers (including the amount, date, bank account number, and payee's name) with the underlying documents supporting the interbank transfer. No exceptions were noted.

3. During the quarter ended March 31, 2025, the Company disbursed ₦121,497,288 for scaling of global trading operations pertaining to additional investments in government securities. Actual disbursements aggregated ₦683,291,633 as at March 31, 2025.

We reviewed the disbursements for the purchase of government securities during the quarter ended March 31, 2025, and the fund transfers to the Company's international broker and PSE for prior years' disbursements. All transferred funds were fully disbursed as intended based on the review of acquisitions' details in the activity summaries, statements of accounts, PSE report and confirmation. No exceptions were noted.

4. The unapplied proceeds as at March 31, 2025 are accounted for as follows:

Cash invested in government securities	₦659,685,272
<u>Cash in bank*</u>	1,082
	<hr/> ₦659,686,354

**Excluding funds amounting to ₦50,211 which mainly consist of the initial bank deposit and interest income as at March 31, 2025.*

We traced the unapplied proceeds to the underlying documents such as the bank reconciliation statements, bank statements for cash in bank and the certificates for government securities. We also checked the mathematical accuracy of the bank reconciliation statements and verified the reconciling items. No exceptions were noted.

5. There are no changes in the estimated timing of the disbursement of the IPO proceeds during the quarter ended March 31, 2025 as follows:

Use of Proceeds	Estimated Timing of Disbursement
Scaling of global trading operations	4th Quarter of 2028
Client account management expansion	4th Quarter of 2028
General corporate purposes	4th Quarter of 2028

Explanatory Paragraph

The Company is responsible for the source documents that are described in the procedures and findings section. We were not engaged to perform, and we have not performed any procedures other than those previously listed.

The agreed-upon procedures do not constitute an audit review of financial statements or part thereof, the objective of which is the expression of an opinion or conclusion on the financial statements or part thereof. Had we performed additional procedures or performed an audit or a review of the financial statements in accordance with Philippine Standards on Auditing or Philippine Standards on Review Engagements, other matters might have come to our attention that would have been reported to you.

REYES TACANDONG & Co.



MARK CHRISTIAN M. ABABA

Partner

CPA Certificate No. 130245

Tax Identification No. 287-809-533-000

BOA Accreditation No. 4782/P-027; Valid until June 6, 2026

BIR Accreditation No. 08-005144-026-2024

Valid until March 26, 2027

PTR No. 10467117

Issued January 2, 2025, Makati City

April 15, 2025

Makati City, Metro Manila



SECURITIES AND EXCHANGE COMMISSION

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The following document has been received:

Receiving: RICHMOND CARLOS AGTARAP

Receipt Date and Time: May 09, 2025 02:43:23 PM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

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Common Shares

6,875,000,000

10. Indicate the item numbers reported herein: **Item #9**

Item 9. Other Events

Please be informed that at the Annual Stockholders' Meeting of **CTS Global Equity Group, Inc.** held today, 09 May 2025, the following matters were taken up and approved by the stockholders:

1. Approval of the Minutes of the Stockholders' Meeting held on 10 May 2024;
2. Ratification of all Acts and Proceedings of the Board of Directors and Management for 2024;
3. Election of the following as members of the Board of Directors for the year 2025 - 2026:

Edward K. Lee	-	Chairman
Alexander C. Yu	-	Vice Chairman
Hernan G. Lim	-	Director
Raymond C. Yu	-	Director
Lawrence C. Lee	-	Director
Catherine L. Ong	-	Director
Edmund C. Lee	-	Director
Michelle Angeline N. Yu	-	Director
Martin T. Lee	-	Independent Director
Donald R. Felbaum	-	Independent Director
Emmanuel L. Samson	-	Independent Director

4. Approval of the 2024 Audited Financial Statements;
5. Approval of the Extension of Term of Independent Director, Mr. Martin T. Lee;
6. Re-appointment of Reyes Tacandong & Co as external auditors for the ensuing year;
7. Approval of the Amendments to Article III and VI of the Articles of Incorporation; and
8. Approval of the Compensation of Independent Directors.

Immediately after the stockholders' meeting, the newly elected directors convened for an organizational meeting to appoint/elect the following corporate officers and members of the various committees:

1. Officers

Edward K. Lee	-	Chairman
Alexander C. Yu	-	Vice Chairman
	-	Treasurer
Martin T. Lee	-	Lead Independent Director
Lawrence C. Lee	-	President and CEO
Catherine L. Ong	-	Chief Audit Executive
	-	Chief Risk Officer
Edmund C. Lee	-	Chief Finance Officer
Sharon T. Lim	-	Corporate Secretary
Juan Carlos G. Aquino	-	Compliance Officer
Stephanie Faye B. Reyes	-	Assistant Corporate Secretary
Sanida C. Tan	-	Assistant Corporate Secretary

2. Committees and Memberships

Compensation and Remuneration Committee

Alexander C. Yu	-	Chairman
Catherine L. Ong	-	Member
Martin T. Lee	-	Member

Audit Committee

Emmanuel L. Samson	-	Chairman
Raymond C. Yu	-	Member
Hernan G. Lim	-	Member

Nomination Committee

Donald R. Felbaum	-	Chairman
Catherine L. Ong	-	Member
Sharon T. Lim	-	Member

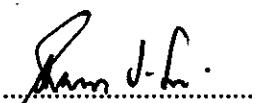
Thank you.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.
Issuer

09 May 2025
Date


.....
SHARON T. LIM
Corporate Secretary

CTS Global Equity Group, Inc.
Proposed Amendments of Articles of Incorporation

FROM	TO
THIRD	
That the corporation shall have its principal office at 27/F East Tower, Philippine Stock Exchange Centre, Exchange Road, Ortigas Center, Pasig City and may establish any other branch or office anywhere in the Philippines.	That the corporation shall have its principal office at 27/F East Tower, <u>Tektite Towers</u> , Exchange Road, Ortigas Center, Pasig City and may establish any other branch or office anywhere in the Philippines.
SIXTH	
That the number of the Directors of said corporation shall be ELEVEN (11), at least two (2) of whom shall be Independent Directors, and that the names and residences of the Directors of the corporation who are to serve until their successors are elected and qualified in accordance with the By-Laws are as follows: xxx	That the number of the Directors of said corporation shall be ELEVEN (11), at least <u>three (3)</u> of whom shall be Independent Directors, and that the names and residences of the Directors of the corporation who are to serve until their successors are elected and qualified in accordance with the By-Laws are as follows: xxx



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Receipt Date and Time: May 09, 2025 11:27:25 AM

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Outstanding and Amount of Debt Outstanding

Common Shares

6,875,000,000

11. Indicate the item numbers reported herein: Item #9

Item 9. Other Events

Please be informed that at the meeting of the Board of Directors of CTS Global Equity Group, Inc. (the "Company") held today, 09 May 2025, the following resolutions were approved:

1. Operations and Financial Report of the Company for the quarter ended 31 March 2025;
 2. Declaration and payment of the following cash dividends from the unappropriated retained earnings of the Company as of 31 December 2024:
 - a. Regular Cash Dividend of ₱0.001877 per share, and
 - b. Special Cash Dividend of ₱0.002491 per share,
- both payable on 18 June 2025 to stockholders of record as of 28 May 2025.

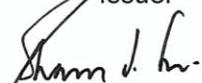
Thank You.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.

Issuer



.....
SHARON T. LIM
Corporate Secretary

09 May 2025

Date



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Company Type: Stock Corporation

Document Information

Document ID: OST10605202583467916

Document Type: Current Report

Document Code: SEC_Form_17-C

Period Covered: June 05, 2025

Submission Type: Original Filing

Remarks: None

Acceptance of this document is subject to review of forms and contents



SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



The following document has been received:

Receiving: DONNA ENCARNADO

Receipt Date and Time: June 05, 2025 04:42:00 PM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST10605202583467916

Document Type: Current Report

Document Code: SEC_Form_17-C

Period Covered: June 05, 2025

Submission Type: Original Filing

Remarks: None

Acceptance of this document is subject to review of forms and contents

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER

1. 5 June 2025
Date of Report (Date of earliest event reported)
2. SEC Identification Number 63824 3. BIR Tax Identification No. 322268
4. CTS Global Equity Group, Inc.
Exact name of issuer as specified in its charter
5. Metro Manila, Philippines
Province, country or other jurisdiction of incorporation
6. (SEC Use Only)
Industry Classification Code:
7. 27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605
Address of principal office Postal Code
8. (+63286355735)
Issuer's telephone number, including area code
9. Not Applicable
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
<u>Common Shares</u>	<u>6,875,000,000</u>

11. Indicate the item numbers reported herein: Item #9

Item 9. Other Events

Please be informed that at the meeting of the Board of Directors of CTS Global Equity Group, Inc. held today, 5 June 2025, the Board approved a reallocation in the use of proceeds from its Initial Public Offering (IPO).

The Company reallocated Php109,706,452, originally intended for client account management expansion and general corporate purposes, to scaling of global trading operations. The details are as follows:

	Initial Allocation	Reallocation
Scaling of global trading operations	1,233,271,535	1,342,977,987
Client account management expansion	20,000,000	-
General corporate purposes	100,000,000	10,293,548

Management has determined that the client account management expansion no longer requires the original allocation, as its costs can be covered by the Company's regular operations. Meanwhile, no further disbursements are needed for general corporate purposes as these were earmarked for pandemic recovery. The reallocated funds will instead support the scaling of global trading operations which will allow the Company to seize investment opportunities that align with its long-term objectives.

The IPO proceeds are expected to be fully utilized by end of 2028.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.
Issuer

5 June 2025
Date



.....
Juan Carlos G. Aquino
Associated Person



SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



The following document has been received:

Receiving: DONNA ENCARNADO

Receipt Date and Time: July 10, 2025 03:45:23 PM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST10710202583592539

Document Type: Current Report

Document Code: SEC_Form_17-C

Period Covered: July 10, 2025

Submission Type: Original Filing

Remarks: None

Acceptance of this document is subject to review of forms and contents

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER

1. **10 July 2025**

Date of Report (Date of earliest event reported)

2. SEC Identification Number **63824** 3. BIR Tax Identification No. **322268**

4. **CTS Global Equity Group, Inc.**

Exact name of issuer as specified in its charter

5. **Metro Manila, Philippines**

Province, country or other jurisdiction of incorporation

6. (SEC Use Only)

Industry Classification Code:

7. **27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605**

Address of principal office

Postal Code

8. **(+63286355735)**

Issuer's telephone number, including area code

9. **Not Applicable**

Former name or former address, if changed since last report

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock
Outstanding and Amount of Debt Outstanding

Common Shares

6,875,000,000

11. Indicate the item numbers reported herein: **Item #9**

Item 9. Other Events

CTS Global Equity Group, Inc. ("Company") submits this report on the use of proceeds realized from the Initial Public Offering ("Offer") of 1,375,000,000 common shares at an offer price of Php1.00 per share conducted on 13 April 2022. The total proceeds from the Offer amounted to Php 1,375,000,000.00.

Further to the Company's SEC Form 17-C filed on 25 July 2022, the Company reports that it redeemed securities in the amount of Php 210,348,654.29, which was temporarily placed into government securities, in preparation for disbursement in accordance with the Company's Prospectus and disclosures.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.
Issuer

10 July 2025
Date


.....
Juan Carlos G. Aquino
Associated Person



SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



The following document has been received:

Receiving: DONNA ENCARNADO

Receipt Date and Time: July 11, 2025 02:55:41 PM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST10711202583596996

Document Type: Current Report

Document Code: SEC_Form_17-C

Period Covered: July 11, 2025

Submission Type: Original Filing

Remarks: None

Acceptance of this document is subject to review of forms and contents

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER

1. **11 July 2025**

Date of Report (Date of earliest event reported)

2. SEC Identification Number **63824** 3. BIR Tax Identification No. **322268**

4. **CTS Global Equity Group, Inc.**

Exact name of issuer as specified in its charter

5. **Metro Manila, Philippines**

Province, country or other jurisdiction of incorporation

6. (SEC Use Only)

Industry Classification Code:

7. **27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605**

Address of principal office

Postal Code

8. **(+63286355735)**

Issuer's telephone number, including area code

9. **Not Applicable**

Former name or former address, if changed since last report

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Title of Each Class

Number of Shares of Common Stock
Outstanding and Amount of Debt Outstanding

Common Shares

6,875,000,000

11. Indicate the item numbers reported herein: **Item #9**

Item 9. Other Events

CTS Global Equity Group, Inc. ("Company") submits this report on the use of proceeds realized from the Initial Public Offering ("Offer") of 1,375,000,000 common shares at an offer price of Php1.00 per share conducted on 13 April 2022. The total proceeds from the Offer amounted to Php 1,375,000,000.00.

The following amounts were disbursed against the Offer proceeds:

<u>Use of Proceeds / Purpose</u>	<u>Amount (Php)</u>
Scaling of Global Trading Operations	208,700,143.15

SIGNATURES

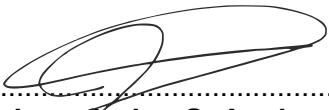
Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.

Issuer

11 July 2025

Date


.....
Juan Carlos G. Aquino
Associated Person



SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



The following document has been received:

Receiving: RICHMOND CARLOS AGTARAP

Receipt Date and Time: July 15, 2025 12:30:13 PM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST10715202583604908

Document Type: Current Report

Document Code: SEC_Form_17-C

Period Covered: July 15, 2025

Submission Type: Original Filing

Remarks: None

Acceptance of this document is subject to review of forms and contents

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER

1. 15 July 2025

Date of Report (Date of earliest event reported)

2. SEC Identification Number 63824 3. BIR Tax Identification No. 322268

4. CTS Global Equity Group, Inc.

Exact name of issuer as specified in its charter

5. Metro Manila, Philippines

Province, country or other jurisdiction of incorporation

6. (SEC Use Only)

Industry Classification Code:

7. 27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605

Address of principal office

Postal Code

8. (+63286355735)

Issuer's telephone number, including area code

9. Not Applicable

Former name or former address, if changed since last report

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock
Outstanding and Amount of Debt Outstanding

Common Shares

6,875,000,000

11. Indicate the item numbers reported herein: Item #9

Item 9. Other Events

CTS Global Equity Group, Inc. ("Company") submits its Comprehensive Report on the Progress of its Business Plan as of 30 June 2025.

SIGNATURES

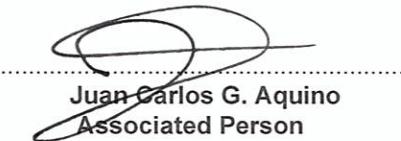
Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.

Issuer

15 July 2025

Date



.....
Juan Carlos G. Aquino
Associated Person

**CTS GLOBAL EQUITY GROUP, INC.**

2701-B East Tower, Tektite Towers, Exchange Road,
Ortigas Center Pasig City 1605 Philippines

Trading Floor: (+632) 8-634-6976

Facsimile: (+632) 8-634-6696

Office: (+632) 8-635-5735 to 37

Helpdesk: (+632) 8-635-5735 Loc 407 to 408, inquiries@ctsglobalgroup.com

Website: www.ctsglobalgroup.com

PSE Trading Participant, SCCP & SIPF Member

Regulated by the SEC, Markets and Securities Regulation Department (msrdsSubmission@sec.gov.ph)

15 July 2025

The Philippine Stock Exchange, Inc.

6/F PSE Tower

5th Avenue corner 28th Street

Bonifacio Global City, Taguig

Attention: **Atty. Johanne Daniel Negre**

Officer-in-Charge, Disclosure Department

Subject: **Comprehensive Report on Progress of Business Plan**

(as of 30 June 2025)

Dear Atty. Negre,

In compliance with the Amended Listing Rules, please see below the comprehensive report on the progress of the business plan of CTS Global Equity Group, Inc.

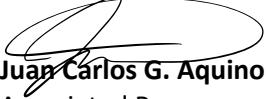
2022	Trader Scaling	Trader Upgrade Roadmapping
		<p>The upgrading program has rolled out, increasing individual traders' portfolio sizes based on performance and capacity.</p> <p>The Company takes importance in maximizing its traders' capacity coupled with prudent risk management.</p>



	Recruitment	<p>Caylum Trading Institute</p> <p>Caylum Trading Institute, CTS Global's affiliate company, has been its main source of recruitment. After halting its physical programs from the COVID-19 pandemic, Caylum's recruitment program was held last August 2022. This is expected to bring in trader headcount as targeted by the company.</p> <p>CTS Global Internship Program</p> <p>The 2022 CTS Global Internship program accepted 24 university students in its hybrid internship program as one of its initiatives to recruit talent from the country's top universities, both from Metro Manila and the provinces.</p>
2023	Client Accounts Management	The development of the Company's Clients Accounts Management business is still ongoing.
2025	25-75 Philippines-Global Geographical Revenue Share	<p>As per CTS Global's disclosure last 04 July 2022, the company has started deploying in the Indonesia markets to capitalize on its attractive opportunities.</p> <p>This is a strategic step towards the company's longer term target of increasing the revenue share from its global trading operations.</p>

We trust that you will find the foregoing report in order. Thank you.

Very truly yours,


Juan Carlos G. Aquino
 Associated Person



SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



The following document has been received:

Receiving: RICHMOND CARLOS AGTARAP

Receipt Date and Time: July 15, 2025 12:27:52 PM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST10715202583604912

Document Type: Current Report

Document Code: SEC_Form_17-C

Period Covered: July 15, 2025

Submission Type: Original Filing

Remarks: None

Acceptance of this document is subject to review of forms and contents

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER

1. 15 July 2025

Date of Report (Date of earliest event reported)

2. SEC Identification Number 63824 3. BIR Tax Identification No. 322268

4. CTS Global Equity Group, Inc.

Exact name of issuer as specified in its charter

5. Metro Manila, Philippines

Province, country or other jurisdiction of
incorporation

6. (SEC Use Only)

Industry Classification Code:

7. 27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605

Address of principal office

Postal Code

8. (+63286355735)

Issuer's telephone number, including area code

9. Not Applicable

Former name or former address, if changed since last report

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock
Outstanding and Amount of Debt Outstanding

Common Shares

6,875,000,000

11. Indicate the item numbers reported herein: Item #9

Item 9. Other Events

CTS Global Equity Group, Inc. ("Company") submits the attached report on the application of the Initial Public Offering ("Offer") of 1,375,000,000 primary offer shares for the quarter ended 30 June 2025.

SIGNATURES

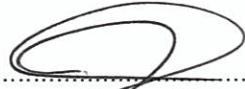
Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.

Issuer

15 July 2025

Date



.....
Juan Carlos G. Aquino
Associated Person



CTS GLOBAL EQUITY GROUP, INC.
2701-B East Tower, Tektite Towers, Exchange Road,
Ortigas Center Pasig City 1605 Philippines
Trading Floor: (+632) 8-634-6976 Facsimile: (+632) 8-634-6696
Office: (+632) 8-635-5735 to 37
Helpdesk: (+632) 8-635-5735 Loc 407 to 408, inquiries@ctsglobalgroup.com
Website: www.ctsglobalgroup.com
PSE Trading Participant, SCCP & SIPF Member
Regulated by the SEC, Markets and Securities Regulation Department (msrdsSubmission@sec.gov.ph)

July 15, 2025

PHILIPPINE STOCK EXCHANGE, INC.
6/F PSE Tower, 5th Avenue corner 28th Street
Bonifacio Global City, Taguig City

Attention: **Atty. Johanne Daniel M. Negre**
Officer-in-Charge, Disclosure Department

Subject: **Quarterly Progress Report on the Application of Proceeds from the IPO**

Ladies and Gentlemen:

In line with the Initial Public Offering (IPO) of CTS Global Equity Group, Inc. on April 13, 2022, we submit herewith our report on the application of the IPO proceeds from the 1,375,000,000 primary offer shares for the quarter ended June 30, 2025:

Gross IPO Proceeds (1,375,000,000 shares at PhP 1.00 per share)		PhP	1,375,000,000
Less: Expenses related to the public offering			
Underwriting and selling fees			14,263,158
Listing fees			2,596,743
Professional fees			2,506,804
Documentary stamp tax			1,375,000
Other expenses			986,760
Net Offering Proceeds		PhP	1,353,271,535
Less: Disbursements			
Disbursements incurred as of December 31, 2024			
Scaling of global trading operations	561,794,345		
General corporate purposes	10,293,548		572,087,893
Disbursements incurred for the quarter ended March 31, 2025			
Scaling of global trading operations	121,497,288		
General corporate purposes	—		121,497,288
Disbursements incurred for the quarter ended June 30, 2025			
Scaling of global trading operations	—		
General corporate purposes	—		—
Total Disbursements as of June 30, 2025		PhP	693,585,181
Balance of IPO Proceeds as of June 30, 2025		PhP	659,686,354

We hope you find everything in order.

Very truly yours,

CTS GLOBAL EQUITY GROUP, INC.

By:



Edmund C. Lee
Chief Finance Officer



THE BOARD OF DIRECTORS
CTS GLOBAL EQUITY GROUP, INC.
27/F East Tower, Tektite Towers
Exchange Road, Ortigas Center
Pasig City

Purpose of this Agreed-Upon Procedures Report

Our report is solely for the purpose of enabling the CTS Global Equity Group, Inc. (the Company) to comply with the Philippine Stock Exchange Inc.'s (PSE) requirement to submit an external auditor's certification on the information presented by the Company in the attached "Quarterly Progress Report as at June 30, 2025 on the Application of the Proceeds from the Initial Public Offering (IPO)" (the Quarterly Progress Report or the Subject Matter) dated July 15, 2025.

On November 5, 2021, the stockholders and the Board of Directors (BOD) of the Company authorized the Company to undertake the IPO of its shares with the PSE. Subsequently, on March 16, 2022 and March 25, 2022, the PSE and the Securities and Exchange Commission (SEC) approved the Company's application for the IPO, respectively.

The shares of stock of the Company were officially listed with the PSE on April 13, 2022. The Company listed 1,375,000,000 common shares at an offer price of ₱1.00 a share.

The proceeds from the IPO, net of IPO expenses, will be used by the Company for the scaling of global trading operations and general corporate purposes as disclosed in the Company's Final Prospectus dated March 25, 2022 and in the reallocation in the use of IPO proceeds as approved by the BOD and disclosed to the PSE on June 5, 2025.

Restriction on Use

This agreed-upon procedure report is intended solely for the information and use of the Company and the PSE, and should not be used by, or distributed to, any other parties.

Responsibilities of the Company

The Company has acknowledged that the agreed-upon procedures are appropriate for the purpose of the engagement.

The Company is responsible for the Subject Matter on which the agreed-upon procedures are performed. The sufficiency of these procedures is solely the responsibility of the Company.

Practitioner's Responsibilities

We have conducted the agreed-upon procedures engagement in accordance with the Philippine Standard on Related Services (PSRS) 4400 (Revised), *Agreed-Upon Procedures Engagements*. An agreed-upon procedures engagement involves performing the procedures that have been agreed with the Company, and reporting the findings, which are the factual results of the agreed-upon procedures performed. We make no representation regarding the appropriateness, or the sufficiency of the agreed-upon procedures described below either for the purpose for which this report has been requested or for any other purpose.

This agreed-upon procedures engagement is not an assurance engagement. Accordingly, we do not express an opinion or an assurance conclusion. Had we performed additional procedures, other matters might have come to our attention that would have been reported.

Professional Ethics and Quality Control

We have complied with the ethical requirements in the Code of Ethics for Professional Accountants in the Philippines (Code of Ethics). We are not required to be independent for the purpose of this engagement. We are the independent auditor of the Company and complied with the independence requirements of the Code of Ethics that apply in context of the financial statement audit.

Our firm applies Philippine Standard on Quality Management 1, *Quality Management for Firms that Perform Audits and Reviews of Financial Statements, and Other Assurance and Related Services Engagements*, and accordingly maintains a comprehensive system of quality control including documented policies and procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

Procedures and Findings

We have performed the procedures described below, which were agreed upon with the Company, with respect to the attached Quarterly Progress Report dated July 15, 2025. These agreed-upon procedures and results thereof are summarized as follows:

1. On June 5, 2025, the BOD of the Company approved the reallocation of proceeds from its IPO as follows:

	Initial Allocation	Reallocation	Revised Allocation
Scaling of global trading operations	₱1,233,271,535	₱109,706,452	₱1,342,977,987
Client account management expansion	20,000,000	(20,000,000)	–
General corporate purposes	100,000,000	(89,706,452)	10,293,548
	₱1,353,271,535	₱–	₱1,353,271,535

The initial allocation of funds to client account management expansion was determined to be no longer required as its costs can be covered by the Company's regular operations. Meanwhile, no further disbursements are needed for general corporate purposes as these were designated for pandemic recovery. As a result, the remaining unapplied proceeds have been reallocated to support the scaling of global trading operations to capitalize on investment opportunities that align with the Company's long-term strategic objectives.

We obtained the signed board resolution authorizing the reallocation in the use of IPO proceeds. We also reviewed the related disclosures made to the PSE. No exceptions were noted.

2. We obtained the Company's Quarterly Progress Report as at June 30, 2025. A comparison of the actual disbursements and the planned use of the proceeds from the IPO as at June 30, 2025 is as follows:

	Actual				Planned (After Reallocation)	Balance
	Balance as at March 31, 2025	Movements during the Quarter	Balance as at June 30, 2025			
Gross Proceeds	₱1,375,000,000		₱1,375,000,000	₱1,375,000,000		₱-
IPO expenses	(21,728,465)	–	(21,728,465)	(21,728,465)		–
Net Proceeds	1,353,271,535		1,353,271,535	1,353,271,535		–
Use of Proceeds						
Scaling of global trading operations	(683,291,633)	–	(683,291,633)	(1,342,977,987)	659,686,354	
General corporate purposes	(10,293,548)	–	(10,293,548)	(10,293,548)		–
	(693,585,181)		(693,585,181)	(1,353,271,535)	659,686,354	
Unapplied Proceeds	₱659,686,354		₱659,686,354		₱–	₱659,686,354

3. The Company has no additional disbursements for scaling of global trading operations during the quarter ended June 30, 2025. Actual disbursements aggregated ₱683,291,633 as at June 30, 2025.

We reviewed the fund transfers to the Company's international broker and PSE. All transferred funds were fully disbursed as intended based on the review of acquisitions' details in the activity summaries, statements of accounts, PSE report and confirmation. No exceptions were noted.

4. The Company has no additional disbursements for general corporate purposes during the quarter ended June 30, 2025. Actual disbursements aggregated ₱10,293,548 as at June 30, 2025.

We examined the underlying documents supporting the actual disbursements, which include, among others, invoices, check vouchers, and official receipts. No exceptions were noted.

We reviewed the details of the fund transfers (including the amount, date, bank account number, and payee's name) with the underlying documents supporting the interbank transfer. No exceptions were noted.

5. The unapplied proceeds as at June 30, 2025 are accounted for as follows:

Cash invested in government securities	₱659,685,272
Cash in bank*	1,082
	₱659,686,354

**Excluding funds amounting to ₱50,217 which mainly consist of the initial bank deposit and interest income as at June 30, 2025.*

We traced the unapplied proceeds to the underlying documents such as the bank reconciliation statements, bank statements for cash in bank and the certificates for government securities. We also checked the mathematical accuracy of the bank reconciliation statements and verified the reconciling items. No exceptions were noted.

6. There are no changes in the estimated timing of the disbursement of the IPO proceeds during the quarter ended June 30, 2025. Remaining unapplied proceeds are expected to be fully disbursed by 4th quarter of 2028.

Explanatory Paragraph

The Company is responsible for the source documents that are described in the procedures and findings section. We were not engaged to perform, and we have not performed any procedures other than those previously listed.

The agreed-upon procedures do not constitute an audit review of financial statements or part thereof, the objective of which is the expression of an opinion or conclusion on the financial statements or part thereof. Had we performed additional procedures or performed an audit or a review of the financial statements in accordance with Philippine Standards on Auditing or Philippine Standards on Review Engagements, other matters might have come to our attention that would have been reported to you.

REYES TACANDONG & Co.

Mark Christian M. Ababa

MARK CHRISTIAN M. ABABA

Partner

CPA Certificate No. 130245

Tax Identification No. 287-809-533-000

BOA Accreditation No. 4782/P-027; Valid until June 6, 2026

BIR Accreditation No. 08-005144-026-2024

Valid until March 26, 2027

PTR No. 10467117

Issued January 2, 2025, Makati City

July 15, 2025

Makati City, Metro Manila



SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



The following document has been received:

Receiving: DONNA ENCARNADO

Receipt Date and Time: July 18, 2025 03:56:51 PM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST10718202583613705

Document Type: Current Report

Document Code: SEC_Form_17-C

Period Covered: July 18, 2025

Submission Type: Original Filing

Remarks: None

Acceptance of this document is subject to review of forms and contents

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER

1. **18 July 2025**

Date of Report (Date of earliest event reported)

2. SEC Identification Number **63824** 3. BIR Tax Identification No. **322268**

4. **CTS Global Equity Group, Inc.**

Exact name of issuer as specified in its charter

5. **Metro Manila, Philippines**

Province, country or other jurisdiction of incorporation

6. (SEC Use Only)

Industry Classification Code:

7. **27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605**

Address of principal office

Postal Code

8. **(+63286355735)**

Issuer's telephone number, including area code

9. **Not Applicable**

Former name or former address, if changed since last report

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock
Outstanding and Amount of Debt Outstanding

Common Shares

6,875,000,000

11. Indicate the item numbers reported herein: **Item #9**

Item 9. Other Events

Please be informed that CTS Global Equity Group, Inc. ("the Company") received today, 18 July 2025, the approval of the Securities and Exchange Commission (SEC) on its application for Amendment of its Articles of Incorporation.

Please see attached the following:

1. Certificate of Filing of Amended Articles of Incorporation
2. Amended Articles of Incorporation of the Company

Thank you.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.
Issuer

18 July 2025
Date


.....
Juan Carlos G. Aquino
Associated Person



REPUBLIC OF THE PHILIPPINES
SECURITIES AND EXCHANGE COMMISSION
The SEC Headquarters
7907 Makati Avenue, Salcedo Village,
Barangay Bel-Air, Makati City, 1209, Metro Manila



COMPANY REG. NO.: 0000063824

CERTIFICATE OF FILING
OF
AMENDED ARTICLES OF INCORPORATION

KNOW ALL PERSONS BY THESE PRESENTS:

This is to certify that the Amended Articles of Incorporation of the

CTS GLOBAL EQUITY GROUP, INC.

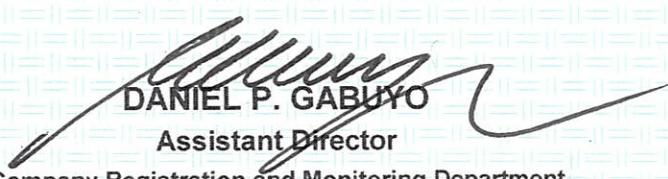
doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities
(Change of Principal Office Address and Article VI)

copy annexed, adopted on August 16, 2024 by majority vote of the Board of Directors and on May 9, 2025 by the vote of the stockholders owning or representing at least two-thirds (2/3) of the outstanding capital stock, and certified under oath by the Corporate Secretary and a majority of the Board of Directors of the corporation was approved by the Commission on this date pursuant to the provision of Section 15 of the Revised Corporation Code of the Philippines, Republic Act No. 11232, which took effect on February 23, 2019, and copies thereof are filed with the Commission.

Unless this corporation obtains or already has obtained the appropriate Secondary License from this Commission, this Certificate does not authorize it to undertake business activities requiring a Secondary License from this Commission such as, but not limited to acting as: broker or dealer in securities, government securities eligible dealer (GSED), investment adviser of an investment company, close-end or open-end investment company, investment house, transfer agent, commodity/financial futures exchange/broker/merchant, financing/lending company and time shares/club shares/membership certificates issuers or selling agents thereof; nor to operate a fiat money to virtual currency exchange. Neither does this Certificate constitute as permit to undertake activities for which other government agencies require a license or permit.

IN WITNESS WHEREOF, I have set my hand and caused the seal of this Commission to be affixed to this Certificate at The SEC Headquarters, 7907 Makati Avenue, Salcedo Village, Barangay Bel-Air, Makati City, 1209, Metro Manila, this 11th day of July, Two Thousand Twenty-Five.




DANIEL P. GABUYO
Assistant Director
Company Registration and Monitoring Department

A M E N D E D
Articles of Incorporation
of
CTS GLOBAL EQUITY GROUP, INC.
doing business under the names and styles of
CTS Global,
CTS Global Equities,
CTS Global Securities,
CTS Securities,
CTS Global Research,
Citisecurities
(formerly CITISECURITIES, INC.)

KNOW ALL MEN BY THESE PRESENTS:

THAT WE, all of legal age and residents of the Philippines, have this day voluntarily associated ourselves together for the purpose of forming of a corporation under the laws of the Philippines;

AND WE DO HEREBY CERTIFY:

FIRST: - That the name of said corporation shall be:

“CTS GLOBAL EQUITY GROUP, INC.”
doing business under the names and styles of
CTS Global,
CTS Global Equities,
CTS Global Securities,
CTS Securities,
CTS Global Research,
Citisecurities
(formerly Citisecurities, Inc.)

*(as amended on 10 May 1986, 28 March 2019, 22 January 2020 and as approved by the
Board of Directors on 8 April 2020 and ratified by the stockholders on the same date)*

SECOND: - That the purposes for which said corporation is formed are:

That the corporation shall engage primarily in the business of stock and bond brokers and dealers in securities and in all activities directly or indirectly connected therewith or incidental thereto.

INCIDENTAL PURPOSES

1. To become a member-firm of any stock exchange.

2. To act as a broker and dealer in stocks, bonds, and bullion.
3. To extend margin facilities to customers on such terms as are permitted by the exchange and by law, and in connection therewith, to negotiate with any bank for banking facilities thereto.
4. To buy, sell or otherwise deal in foreign exchange.
5. To the extent permitted by law, to hold interest in any form of association, e.g., an association formed to prospect for mining properties and to explore and develop the same.
6. To the extent permitted by law, to purchase, hire, own, or otherwise acquire and dispose of any lands, tenants, buildings, or property, which may be necessary or convenient for the immediate needs of the corporation and its staff.

In general, to do all things and acts necessary for the conduct and operation of the business of the corporation. *(as approved by the Board of Directors on 16 October 2019 and ratified by the stockholders on the same date)*

THIRD: - That the corporation shall have its principal office at 27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City and may establish any other branch or office anywhere in the Philippines. *(as approved by the Board of Directors on 16 August 2024 and ratified by the stockholders on 09 May 2025 and as amended on 02 June 1994 and 23 May 2014)*

FOURTH: - That the corporation shall have a perpetual existence. *(as approved by the Board of Directors on 16 October 2019 and ratified by the stockholders on the same date)*

FIFTH: - That the names, nationalities and residences of the incorporators are as follows:

<u>NAME</u>	<u>NATIONALITY</u>	<u>RESIDENCE</u>
MARIANO SUANGCO	FILIPINO	900 Tabora St., Manila
ANTONITA SUANGCO	FILIPINO	2034 Jose Abad Santos., Manila
BENITO TAN	CHINESE	43 Cresta St., Quezon City
JULIANA CO	CHINESE	43 Cresta St., Quezon City
CHU SIONG TAY	CHINESE	4 Atok St., Quezon City

SIXTH: - That the number of the Directors of said corporation shall be ELEVEN (11), at least three (3) of whom shall be Independent Directors, and that the names and residences of the Directors of the corporation who are to serve until their successors are elected and

qualified in accordance with the By-Laws are as follows: (as approved by the Board of Directors on 16 August 2024 and ratified by the stockholders on 09 May 2025, and as amended on 6 November 2013 and as approved by the Board of Directors on 14 June 2018 and by the stockholders on the same date)

<u>NAME</u>	<u>RESIDENCE</u>
MARIANO SUANGCO	900 Tabora St., Manila
ANTONITA SUANGCO	2034 Jose Abad Santos., Manila
BENITO TAN	43 Cresta St., Quezon City
JULIANA CO	43 Cresta St., Quezon City
CHU SIONG TAY	4 Atok St., Quezon City

SEVENTH: - That the authorized capital stock of the corporation shall be EIGHT HUNDRED MILLION (₱800,000,000.00) PESOS, Philippine Currency, and said capital stock shall be divided into EIGHT BILLION (8,000,000,000) SHARES of common stock with par value of TEN CENTAVOS (0.10) per share. (as amended on 6 November 2013, 28 March 2019, and as approved by the Board of Directors on 16 October 2019 and ratified by the stockholders on the same date, and as approved by the Board of Directors on 8 April 2020 and ratified by the stockholders on the same date)

That no transfer of stock or interest which will reduce the ownership of Filipino citizens to less than the required percentage of the capital stock as provided by existing laws shall be allowed or permitted to be recorded in the proper books of the corporation and this restriction shall also be indicated in all its stock certificates. (as approved by the Board of Directors on 14 June 2018 and by the stockholders on the same date)

That the corporation shall comply with the lock-up requirements under the Listing Rules of the Philippine Stock Exchange, as the same may be amended from time to time. (as approved by the Board of Directors on 8 April 2020 and ratified by the stockholders on the same date)

EIGHT: - That the number of shares and amount actually subscribed is ONE MILLION FOUR HUNDRED THOUSAND PESOS (₱1,400,000.00) and the following persons subscribed to the number of shares indicated at their respective names to wit:

<u>NAME</u>	<u>NO. OF SHARES</u>	<u>AMOUNT SUBSCRIBED</u>
MARIANO SUANGCO	5,600	₱560,000.00
ANTONITA SUANGCO	4,200	₱420,000.00
BENITO TAN	2,800	₱280,000.00
JULIANA CO	700	₱70,000.00
CHU SIONG TAY	700	₱70,000.00
TOTAL	14,000	₱1,400,000.00

NINTH: - That the following persons have paid on the shares of capital stock for which they have subscribed in the amount set out after their respective names:

<u>NAME</u>	<u>AMOUNT PAID IN SUBSCRIPTION</u>
MARIANO SUANGCO	₱400,000.00
ANTONITA SUANGCO	₱300,000.00
BENITO TAN	₱200,000.00
JULIANA CO	₱50,000.00
CHU SIONG TAY	₱50,000.00
TOTAL	₱1,000,000.00

TENTH: - That all stockholders of the corporation do not have the pre-emptive right to subscribe to all issues or disposition of shares of any class, in proportion to their respective shareholdings. (as approved by the Board of Directors on 14 June 2018 and by the stockholders on the same date)

ELEVENTH: - That MARIANO SUANGCO has been elected by the Subscribers as Treasurer of the corporation to act as such until his successor is duly elected and qualified in accordance with the By-laws, and that as such Treasurer, he has been authorized to receive for the corporation and to receive in his name all subscriptions paid in by said subscribers.

IN WITNESS WHEREOF, the incorporators have hereby set their hands this 23rd day of September, 1975, in the City of Manila, Philippines.

(SGD) MARIANO - spouses - (SGD) ANTONITA SUANGCO
SUANGCO TAN 1549-264-8
TAN 1549-264-8 ICR 12173
ICR 12172 Dtd 7.21.60

(SGD) BENITO TAN - spouses - (SGD) JULIANA CO
TAN 1560-562-9 TAN 1560-562-9
ACR 001977 ACR 234915
Dtd 2.9.67 Dtd 2.24.75

(SGD) CHU SIONG TAY
TAN 1141-819-0
ACR 13330
Dtd 10.13.50

SIGNED IN THE PRESENCE OF:

(SGD)

Witness

(SGD)

Witness

REPUBLIC OF THE PHILIPPINES)
CITY OF MANILA)S.S

ACKNOWLEDGEMENT

BEFORE ME, this 23rd day of September, 1975 at the City of Manila, personally appeared:

MARIANO SUANGCO	A-355596	Jan. 6, 1975	Manila
ANTONITA SUANGCO	A-401262	Jan. 6, 1975	Manila
BENITO TAN	A-608353	Jan. 9, 1975	Quezon City
JULIANA CO	A-542917	Jan. 16, 1975	Manila
CHU SIONG TAY	A-444071	Jan. 7, 1975	Manila

to me known to be the same persons who executed the foregoing Articles of Incorporation and that they acknowledged that the same is of their free and voluntary act and deed.

WITNESS MY HAND AND SEAL.

(SGD)

Notary Public
Until Dec. 31, 1976

Doc. No. 90
Page No. 19
Book No. XXX
Series of 1975

REPUBLIC OF THE PHILIPPINES)
CITY OF MANILA)S.S

TREASURER'S AFFIDAVIT

MARIANO SUANGCO, being first duly sworn, deposes and says:

That on the 23rd day of September, 1975, he was duly elected by the subscribers named in the foregoing Articles of Incorporation to act as Treasurer until his successor is duly elected and qualified in accordance with the By-laws of the corporation and that, as such Treasurer, he has been authorized to receive in the name of the corporation all subscriptions of the capital stock paid in by the subscriber;

That ONE MILLION FOUR HUNDRED THOUSAND PESOS (P1,400,000.00) worth of stock with the par value of ONE HUNDRED (P100.00) PESOS each shares has been actually subscribed, and that of said subscription ONE MILLION PESOS (P1,000,000.00) in cash have been actually paid and transferred to him in trust and received by him for the benefit and to the credit of the corporation;

That pursuant to law, he certifies that at least TWENTY PER CENTUM (20%) of the entire number of the authorized shares of capital stock has been subscribed and at least TWENTY FIVE (25%) PER CENTUM of the subscription has been actually paid to him and received by him in trust and for the benefit and to the credit of the corporation.

(SGD) MARIANO
SUANGCO
Treasurer

SUBSCRIBED AND SWORN to before me this 23rd day of September, 1975, affiant exhibiting to me his Residence Certificate No. A-355596 issued at Manila on January 6, 1975.

(SGD)
Notary Public
Until Dec. 31,
1976

Doc. No. 91
Page No. 20
Book No. XXX
Series of 1975

DIRECTORS' CERTIFICATE

KNOW ALL MEN BY THESE PRESENTS:

We, the undersigned majority members of the Board of Directors and the Corporate Secretary of **CTS GLOBAL EQUITY GROUP, INC.**, (the "Corporation"), a corporation duly organized and existing under the laws of the Philippines, with principal office address at 27/F East Tower, Tektite Towers (formerly Philippine Stock Exchange Centre), Exchange Road, Ortigas Center, Pasig City, under oath, do hereby certify that the attached instrument is a true and correct copy of the Amended Articles of Incorporation of the Corporation reflecting the amendments to Articles THIRD and SIXTH thereof, which read as follows:

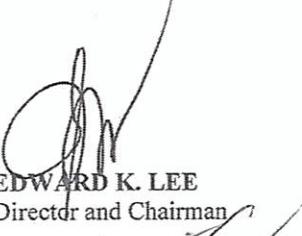
THIRD: - That the corporation shall have its principal office at 27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City and may establish any other branch or office anywhere in the Philippines.

SIXTH: - That the number of the Directors of said corporation shall be ELEVEN (11), at least three (3) of whom shall be Independent Directors, and that the names and residences of the Directors of the corporation who are to serve until their successors are elected and qualified in accordance with the By-Laws are as follows: xxx

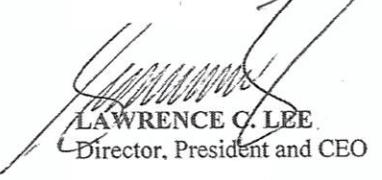
The foregoing amendments to the Articles of Incorporation were adopted and approved by the Board of Directors in a meeting held on 16 August 2024 at the 27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City. The said amendment was likewise ratified by the stockholders owning at least two-thirds (2/3) of the outstanding capital stock of the Corporation in the Annual Stockholders' Meeting held on 09 May 2025 at the same place. During both meetings, the required quorum was present and acting throughout.

Done this MAY 30 2025 in Pasig City, Metro Manila.

[Signature page follows]



EDWARD K. LEE
Director and Chairman



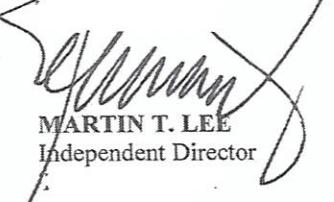
LAWRENCE C. LEE
Director, President and CEO



RAYMOND C. YU
Director



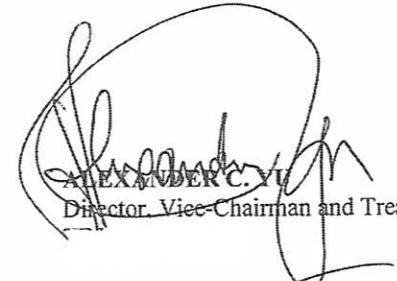
EDMUND C. LEE
Director and Chief Finance Officer



MARTIN T. LEE
Independent Director



EMMANUEL L. SAMSON
Independent Director



ALEXANDER C. YU
Director, Vice-Chairman and Treasurer



HERNAN G. LIM
Director



MICHELINE ANGELINE N. YU
Director



CATHERINE L. ONG
Director, SVP and Chief Audit Executive



DONALD R. FELBAUM
Independent Director

Countersigned:



SHARON T. LIM
Corporate Secretary

SUBSCRIBED AND SWORN to before me this MAY 30 2025 in Pasig City, Metro Manila, affiants exhibiting to me their valid identification, to wit:

Name	Valid Identification No.	Date/ Place of Issue
Edward K. Lee	Phil. Passport	
Alexander C. Yu	Phil. Passport	
Lawrence C. Lee	SSS	
Hernan G. Lim	Phil. Passport	
Raymond C. Yu	Driver's License	
Michelle Angeline N. Yu	UMID CRN	
Catherine L. Ong	SSS	
Edmund C. Lee	Phil. Passport	
Martin T. Lee	Phil. Passport	
Donald R. Felbaum	US Passport	
Emmanuel L. Samson	Phil. Passport	
Sharon T. Lim	Phil. Passport	

all known to me and to me known to be the same persons who executed the foregoing Certificate and they acknowledged to me that the same is their free and voluntary act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my notarial seal on the date and at the place first above written.

Doc. No. 204;
Page No. 41;
Book No. 6;
Series of 2025.


ATTY. STEPHANIE FAYE B. REYES
For the Cities of Pasig, San Juan
and the Municipality of Pateros
Expiring on 31 December 2026
Appointment No. 82 (2025-2026) Pasig City
Roll No. 64239/IBP LRN 13768/RSM
PTR No. 3039915/01.03.25/Pasig City
MCLE Compliance No. VIII-0011510/04.14.28
2703C East Tower Tektite Towers (formerly PSE
Centre), Exchange Road, Ortigas Center, Pasig City 1605

Republic of the Philippines

Pasig City) S.S.

SECRETARY'S CERTIFICATE

I, SHARON T. LIM, legal age, a Filipino, and resident of 27/F EAST TOWER, TEKTITE TOWERS, EXCHANGE ROAD, ORTIGAS CENTER, PASIG CITY, after having been sworn to in accordance with law hereby depose and state that:

I am the duly elected and qualified Corporate Secretary of CTS GLOBAL EQUITY GROUP, INC. DOING BUSINESS UNDER THE NAMES AND STYLES OF CTS GLOBAL, CTS GLOBAL EQUITIES, CTS GLOBAL SECURITIES, CTS SECURITIES, CITISECURITIES, a corporation duly registered with the Commission and in good standing, with principal office at 27/F EAST TOWER, TEKTITE TOWERS, EXCHANGE ROAD, ORTIGAS CENTER, PASIG CITY.

To the best of my knowledge, from the date of approval of the amendment/s by the Board of Directors in a meeting held on August 16, 2024 and the Stockholders in a meeting held on May 09, 2025 up to the date of filing of the application for amendment of Articles of Incorporation with the Commission, no action or proceeding has been filed or is pending before any Court involving an intra-corporate dispute and/or any claim by any person or group against the board of directors, individual director and/or major corporate officer/s of the Corporation as its duly elected and/or appointed director or officer or vice versa.

IN WITNESS WHEREOF, I hereby signed this day of JUN 26 2025 at

Pasig City



SHARON T. LIM

Corporate Secretary/Authorized Representative

SUBSCRIBED AND SWORN to before me on this day of JUN 26 2025 in

Pasig City affiant exhibited to me her Passport No.: issued at

Doc. No. 125
Page No. 26
Book No. 1
Series of 2025



NOTARY PUBLIC


ATTY. LEANNE CLAIRE M. BOOL
Notary Public within and for the City of Pasig
Expiring on 31 December 2026
Appointment No. 214 (2025-2026)
Roll of Attorneys No. 81056
IBP No. 485290/12.19.24/Bulacan
PTR No. 3051457/01.14.25/Pasig City
MCLE Compliance No. VIII-0019616/04.14.28
2703C East Tower, Tektite Towers, Exchange Road,
Ortigas Center, Pasig City 1605



SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



The following document has been received:

Receiving: RICHMOND CARLOS AGTARAP

Receipt Date and Time: July 28, 2025 03:14:55 PM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST10728202583625203

Document Type: Current Report

Document Code: SEC_Form_17-C

Period Covered: July 28, 2025

Submission Type: Original Filing

Remarks: None

Acceptance of this document is subject to review of forms and contents

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER

1. **28 July 2025**
Date of Report (Date of earliest event reported)
2. SEC Identification Number **63824** 3. BIR Tax Identification No. **322268**
4. **CTS Global Equity Group, Inc.**
Exact name of issuer as specified in its charter
5. **Metro Manila, Philippines**
Province, country or other jurisdiction of incorporation
6. (SEC Use Only)
Industry Classification Code:
7. **27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605**
Address of principal office
8. **(+63286355735)**
Issuer's telephone number, including area code
9. **Not Applicable**
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
<u>Common Shares</u>	<u>6,875,000,000</u>

11. Indicate the item numbers reported herein: **Item #9**

Item 9. Other Events

CTS Global Equity Group, Inc. ("Company") submits this report on the use of proceeds realized from the Initial Public Offering ("Offer") of 1,375,000,000 common shares at an offer price of Php1.00 per share conducted on 13 April 2022. The total proceeds from the Offer amounted to Php 1,375,000,000.00.

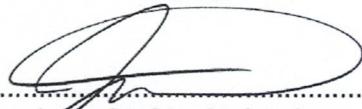
Further to the Company's SEC Form 17-C filed on 25 July 2022 and 17 August 2022, the Company reports that it redeemed securities in the amount of Php 449,336,617.49, which was temporarily placed into government securities, in preparation for disbursement in accordance with the Company's Prospectus and disclosures.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.

Issuer



Juan Carlos G. Aquino
Associated Person

28 July 2025

Date



SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



The following document has been received:

Receiving: RICHMOND CARLOS AGTARAP

Receipt Date and Time: July 29, 2025 03:40:49 PM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST10729202583627908

Document Type: Current Report

Document Code: SEC_Form_17-C

Period Covered: July 29, 2025

Submission Type: Original Filing

Remarks: None

Acceptance of this document is subject to review of forms and contents

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER

1. 29 July 2025

Date of Report (Date of earliest event reported)

2. SEC Identification Number 63824 3. BIR Tax Identification No. 322268

4. CTS Global Equity Group, Inc.

Exact name of issuer as specified in its charter

5. Metro Manila, Philippines

Province, country or other jurisdiction of incorporation

6. (SEC Use Only)

Industry Classification Code:

7. 27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605

Address of principal office

Postal Code

8. (+63286355735)

Issuer's telephone number, including area code

9. Not Applicable

Former name or former address, if changed since last report

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock
Outstanding and Amount of Debt Outstanding

Common Shares

6,875,000,000

11. Indicate the item numbers reported herein: Item #9

Item 9. Other Events

CTS Global Equity Group, Inc. ("Company") submits this report on the use of proceeds realized from the Initial Public Offering ("Offer") of 1,375,000,000 common shares at an offer price of Php1.00 per share conducted on 13 April 2022. The total proceeds from the Offer amounted to Php 1,375,000,000.00.

The following amounts were disbursed against the Offer proceeds:

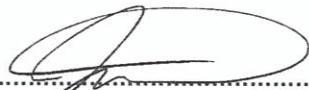
<u>Use of Proceeds / Purpose</u>	<u>Amount (Php)</u>
Scaling of Global Trading Operations	450,986,211

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.

Issuer



Juan Carlos G. Aquino
Associated Person

29 July 2025

Date



SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



The following document has been received:

Receiving: RICHMOND CARLOS AGTARAP

Receipt Date and Time: August 15, 2025 12:54:48 PM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST10815202583660477

Document Type: Current Report

Document Code: SEC_Form_17-C

Period Covered: August 15, 2025

Submission Type: Original Filing

Remarks: None

Acceptance of this document is subject to review of forms and contents

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER

1. **15 August 2025**

Date of Report (Date of earliest event reported)

2. SEC Identification Number **63824** 3. BIR Tax Identification No. **322268**

4. **CTS Global Equity Group, Inc.**

Exact name of issuer as specified in its charter

5. **Metro Manila, Philippines**

Province, country or other jurisdiction of incorporation

6. (SEC Use Only)

Industry Classification Code:

7. **27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605**

Address of principal office

Postal Code

8. **(+63286355735)**

Issuer's telephone number, including area code

9. **Not Applicable**

Former name or former address, if changed since last report

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock
Outstanding and Amount of Debt Outstanding

Common Shares

6,875,000,000

11. Indicate the item numbers reported herein: **Item #9**

Item 9. Other Events

Please be informed that at the meeting of the Board of Directors of CTS Global Equity Group, Inc. (the "Company") held today, 15 August 2025, the Operations and Financial Report of the Company for the quarter ended 30 June 2025 was approved.

The Company's Quarterly Report on SEC Form 17-Q for the said period will be filed on or before 19 August 2025.

Thank You.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.
Issuer

15 August 2025
Date

(originally signed)

.....
SHARON T. LIM
Corporate Secretary



SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph



The following document has been received:

Receiving: DONNA ENCARNADO

Receipt Date and Time: October 15, 2025 01:28:20 PM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST11015202583788100

Document Type: Current Report

Document Code: SEC_Form_17-C

Period Covered: October 15, 2025

Submission Type: Original Filing

Remarks: None

Acceptance of this document is subject to review of forms and contents

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
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1. **15 October 2025**

Date of Report (Date of earliest event reported)

2. SEC Identification Number **63824** 3. BIR Tax Identification No. **322268**

4. **CTS Global Equity Group, Inc.**

Exact name of issuer as specified in its charter

5. **Metro Manila, Philippines**

Province, country or other jurisdiction of
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Outstanding and Amount of Debt Outstanding

Common Shares

6,875,000,000

11. Indicate the item numbers reported herein: **Item #9**

Item 9. Other Events

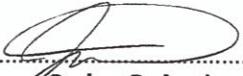
CTS Global Equity Group, Inc. ("Company") submits its Comprehensive Report on the Progress of its Business Plan as of 30 September 2025.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.
Issuer

15 October 2025
Date


.....
Juan Carlos G. Aquino

Associated Person

**CTS GLOBAL EQUITY GROUP, INC.**

2701-B East Tower, Tektite Towers, Exchange Road,
Ortigas Center Pasig City 1605 Philippines

Trading Floor: (+632) 8-634-6976

Facsimile: (+632) 8-634-6696

Office: (+632) 8-635-5735 to 37

Helpdesk: (+632) 8-635-5735 Loc 407 to 408, inquiries@ctsglobalgroup.com

Website: www.ctsglobalgroup.com

PSE Trading Participant, SCCP & SIPF Member

Regulated by the SEC, Markets and Securities Regulation Department (msrdsSubmission@sec.gov.ph)

15 October 2025

The Philippine Stock Exchange, Inc.

6/F PSE Tower

5th Avenue corner 28th Street

Bonifacio Global City, Taguig

Attention: **Atty. Johanne Daniel Negre**
Head, Disclosure Department

Subject: **Comprehensive Report on Progress of Business Plan**
(as of 30 September 2025)

Dear Atty. Negre,

In compliance with the Amended Listing Rules, please see below the comprehensive report on the progress of the business plan of CTS Global Equity Group, Inc.

2022	Trader Scaling	Trader Upgrade Roadmapping
		<p>The upgrading program has rolled out, increasing individual traders' portfolio sizes based on performance and capacity.</p> <p>The Company takes importance in maximizing its traders' capacity coupled with prudent risk management.</p>



	Recruitment	<p>Caylum Trading Institute</p> <p>Caylum Trading Institute, CTS Global's affiliate company, has been its main source of recruitment. After halting its physical programs from the COVID-19 pandemic, Caylum's recruitment program was held last August 2022. This is expected to bring in trader headcount as targeted by the company.</p> <p>CTS Global Internship Program</p> <p>The 2022 CTS Global Internship program accepted 24 university students in its hybrid internship program as one of its initiatives to recruit talent from the country's top universities, both from Metro Manila and the provinces.</p>
2023	Client Accounts Management	The development of the Company's Clients Accounts Management business is still ongoing.
2025	25-75 Philippines-Global Geographical Revenue Share	<p>As per CTS Global's disclosure last 04 July 2022, the company has started deploying in the Indonesia markets to capitalize on its attractive opportunities.</p> <p>This is a strategic step towards the company's longer term target of increasing the revenue share from its global trading operations.</p>

We trust that you will find the foregoing report in order. Thank you.

Very truly yours,


Juan Carlos G. Aquino
 Associated Person



SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph



The following document has been received:

Receiving: DONNA ENCARNADO

Receipt Date and Time: October 15, 2025 01:31:24 PM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

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Document Code: SEC_Form_17-C

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Remarks: None

Acceptance of this document is subject to review of forms and contents

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER

1. 15 October 2025

Date of Report (Date of earliest event reported)

2. SEC Identification Number 63824 3. BIR Tax Identification No. 322268

4. CTS Global Equity Group, Inc.

Exact name of issuer as specified in its charter

5. Metro Manila, Philippines

Province, country or other jurisdiction of
incorporation

6. (SEC Use Only)

Industry Classification Code:

7. 27/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605

Address of principal office

Postal Code

8. (+63286355735)

Issuer's telephone number, including area code

9. Not Applicable

Former name or former address, if changed since last report

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock

Outstanding and Amount of Debt Outstanding

Common Shares

6,875,000,000

11. Indicate the item numbers reported herein: Item #9

Item 9. Other Events

CTS Global Equity Group, Inc. ("Company") submits the attached report on the application of the Initial Public Offering ("Offer") of 1,375,000,000 primary offer shares for the quarter ended 30 September 2025.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.
Issuer

15 October 2025
Date


.....
Juan Carlos G. Aquino
Associated Person

October 15, 2025

PHILIPPINE STOCK EXCHANGE, INC.
 6/F PSE Tower, 5th Avenue corner 28th Street
 Bonifacio Global City, Taguig City

Attention: **Atty. Johanne Daniel M. Negre**
 Officer-in-Charge, Disclosure Department

Subject: **Quarterly Progress Report on the Application of Proceeds from the IPO**

Ladies and Gentlemen:

In line with the Initial Public Offering (IPO) of CTS Global Equity Group, Inc. on April 13, 2022, we submit herewith our report on the application of the IPO proceeds from the 1,375,000,000 primary offer shares for the quarter ended September 30, 2025:

Gross IPO Proceeds (1,375,000,000 shares at PhP 1.00 per share)	PhP	1,375,000,000
Less: Expenses related to the public offering		
Underwriting and selling fees		14,263,158
Listing fees		2,596,743
Professional fees		2,506,804
Documentary stamp tax		1,375,000
Other expenses		986,760
Net Offering Proceeds	PhP	1,353,271,535
Less: Disbursements		
Disbursements incurred as of December 31, 2024		
Scaling of global trading operations	561,794,345	
General corporate purposes	10,293,548	572,087,893
Disbursements incurred for the quarter ended March 31, 2025		
Scaling of global trading operations	121,497,288	
General corporate purposes	—	121,497,288
Disbursements incurred for the quarter ended June 30, 2025		
Scaling of global trading operations	—	
General corporate purposes	—	
Disbursements incurred for the quarter ended September 30, 2025		
Scaling of global trading operations	659,686,354	
General corporate purposes	—	659,686,354
Total Disbursements as of September 30, 2025	PhP	1,353,271,535
Balance of IPO Proceeds as of September 30, 2025	PhP	—

We hope you find everything in order.

Very truly yours,

CTS GLOBAL EQUITY GROUP, INC.

By:



Edmund C. Lee
Chief Finance Officer



REPORT ON FACTUAL FINDINGS

THE BOARD OF DIRECTORS
CTS GLOBAL EQUITY GROUP, INC.
27/F East Tower, Tektite Towers
Exchange Road, Ortigas Center
Pasig City

Purpose of this Agreed-Upon Procedures Report

Our report is solely for the purpose of enabling the CTS Global Equity Group, Inc. (the Company) to comply with the Philippine Stock Exchange Inc.'s (PSE) requirement to submit an external auditor's certification on the information presented by the Company in the attached "Quarterly Progress Report as at September 30, 2025 on the Application of the Proceeds from the Initial Public Offering (IPO)" (the Quarterly Progress Report or the Subject Matter) dated October 15, 2025.

On November 5, 2021, the stockholders and the Board of Directors (BOD) of the Company authorized the Company to undertake the IPO of its shares with the PSE. Subsequently, on March 16, 2022 and March 25, 2022, the PSE and the Securities and Exchange Commission (SEC) approved the Company's application for the IPO, respectively.

The shares of stock of the Company were officially listed with the PSE on April 13, 2022. The Company listed 1,375,000,000 common shares at an offer price of ₱1.00 a share.

The proceeds from the IPO, net of IPO expenses, will be used by the Company for the scaling of global trading operations and general corporate purposes as disclosed in the Company's Final Prospectus dated March 25, 2022 and in the reallocation in the use of IPO proceeds as approved by the BOD and disclosed to the PSE on June 5, 2025.

Restriction on Use

This agreed-upon procedure report is intended solely for the information and use of the Company and the PSE, and should not be used by, or distributed to, any other parties.

Responsibilities of the Company

The Company has acknowledged that the agreed-upon procedures are appropriate for the purpose of the engagement.

The Company is responsible for the Subject Matter on which the agreed-upon procedures are performed. The sufficiency of these procedures is solely the responsibility of the Company.

Practitioner's Responsibilities

We have conducted the agreed-upon procedures engagement in accordance with the Philippine Standard on Related Services (PSRS) 4400 (Revised), *Agreed-Upon Procedures Engagements*. An agreed-upon procedures engagement involves performing the procedures that have been agreed with the Company, and reporting the findings, which are the factual results of the agreed-upon procedures performed. We make no representation regarding the appropriateness, or the sufficiency of the agreed-upon procedures described below either for the purpose for which this report has been requested or for any other purpose.

This agreed-upon procedures engagement is not an assurance engagement. Accordingly, we do not express an opinion or an assurance conclusion. Had we performed additional procedures, other matters might have come to our attention that would have been reported.

Professional Ethics and Quality Control

We have complied with the ethical requirements in the Code of Ethics for Professional Accountants in the Philippines (Code of Ethics). We are not required to be independent for the purpose of this engagement. We are the independent auditor of the Company and complied with the independence requirements of the Code of Ethics that apply in context of the financial statement audit.

Our firm applies Philippine Standard on Quality Management 1, *Quality Management for Firms that Perform Audits and Reviews of Financial Statements, and Other Assurance and Related Services Engagements*, and accordingly maintains a comprehensive system of quality control including documented policies and procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

Procedures and Findings

We have performed the procedures described below, which were agreed upon with the Company, with respect to the attached Quarterly Progress Report dated October 15, 2025. These agreed-upon procedures and results thereof are summarized as follows:

1. We obtained the Company's Quarterly Progress Report as at September 30, 2025. A comparison of the actual disbursements and the planned use of the proceeds from the IPO as at September 30, 2025 is as follows:

	Actual				
	Balance as at June 30, 2025	Movements during the Quarter	Balance as at September 30, 2025	Planned	Balance
Gross Proceeds	₱1,375,000,000	₱-	₱1,375,000,000	₱1,375,000,000	₱-
IPO expenses	(21,728,465)	–	(21,728,465)	(21,728,465)	–
Net Proceeds	1,353,271,535	–	1,353,271,535	1,353,271,535	–
Use of Proceeds					
Scaling of global trading operations	(683,291,633)	(659,686,354)	(1,342,977,987)	(1,342,977,987)	–
General corporate purposes	(10,293,548)	–	(10,293,548)	(10,293,548)	–
	(693,585,181)	(659,686,354)	(1,353,271,535)	(1,353,271,535)	–
Unapplied Proceeds	₱659,686,354	(₱659,686,354)	₱-	₱-	₱-

2. The Company has no additional disbursements for general corporate purposes during the quarter ended September 30, 2025. Actual disbursements aggregated ₱10,293,548 as at September 30, 2025.

We examined the underlying documents supporting the actual disbursements, which include, among others, invoices, check vouchers, and official receipts. No exceptions were noted.

We reviewed the details of the fund transfers (including the amount, date, bank account number, and payee's name) related to disbursements for general corporate purposes with the underlying documents supporting the interbank transfers. No exceptions were noted.

3. During the quarter ended September 30, 2025, the Company disbursed ₱659,686,354 for scaling of global trading operations pertaining to additional investments in government securities. Actual disbursements aggregated ₱1,342,977,987 as at September 30, 2025.

We reviewed the disbursements related to the scaling of global trading operations. Based on the review of acquisition details reflected in the activity summaries, statements of accounts, and PSE report, all transferred funds were fully utilized as intended. No exceptions were noted.

4. The total proceeds from the IPO have been fully utilized as of September 30, 2025. The application of the IPO proceeds was aligned with the Company's long-term strategic objectives and followed the planned allocation as set forth in the prospectus, board resolutions, and PSE disclosures on the reallocation of proceeds.

We obtained and reviewed the disclosures submitted to the PSE as at September 30, 2025 related to the utilization of the IPO proceeds. No exceptions were noted

Explanatory Paragraph

The Company is responsible for the source documents that are described in the procedures and findings section. We were not engaged to perform, and we have not performed any procedures other than those previously listed.

The agreed-upon procedures do not constitute an audit review of financial statements or part thereof, the objective of which is the expression of an opinion or conclusion on the financial statements or part thereof. Had we performed additional procedures or performed an audit or a review of the financial statements in accordance with Philippine Standards on Auditing or Philippine Standards on Review Engagements, other matters might have come to our attention that would have been reported to you.

REYES TACANDONG & Co.



MARK CHRISTIAN M. ABABA

Partner

CPA Certificate No. 130245

Tax Identification No. 287-809-533-000

BOA Accreditation No. 4782/P-027; Valid until June 6, 2026

BIR Accreditation No. 08-005144-026-2024

Valid until March 26, 2027

PTR No. 10467117

Issued January 2, 2025, Makati City

October 15, 2025
Makati City, Metro Manila



SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph



The following document has been received:

Receiving: DONNA ENCARNADO

Receipt Date and Time: November 14, 2025 11:46:57 AM

Company Information

SEC Registration No.: 0000063824

Company Name: CTS GLOBAL EQUITY GROUP, INC. doing business under the names and styles of CTS Global, CTS Global Equities, CTS Global Securities, CTS Securities, Citisecurities

Industry Classification: J66930

Company Type: Stock Corporation

Document Information

Document ID: OST11114202583818098

Document Type: Current Report

Document Code: SEC_Form_17-C

Period Covered: November 14, 2025

Submission Type: Original Filing

Remarks: None

Acceptance of this document is subject to review of forms and contents

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

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Date of Report (Date of earliest event reported)
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Exact name of issuer as specified in its charter
5. Metro Manila, Philippines
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Industry Classification Code:
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10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
<u>Common Shares</u>	<u>6,875,000,000</u>

.....

11. Indicate the item numbers reported herein: Item #9

Item 9. Other Events

Please be informed that at the meeting of the Board of Directors of CTS Global Equity Group, Inc. (the "Company") held today, 14 November 2025, the following resolutions were approved:

1. Operations and Financial Report of the Company for the quarter ended 30 September 2025.
2. Proposed amendments to the Company's By-Laws as specified in the attachment.
3. Setting of the Annual Stockholders' Meeting on 07 May 2026, Thursday, 2:00 P.M.

As approved by a majority of the Board of Directors, the Company will be conducting the annual stockholders' meeting by remote communication.

The annual stockholders' meeting will be streamed live, and stockholders may attend and participate by remote communication and vote in absentia or by proxy, using the online portal and in accordance with the procedure to be disclosed in the Information Statement and published in the Company's website at www.ctsglobalgroup.com.

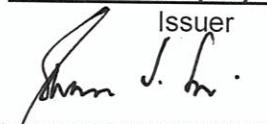
Only stockholders of record at the close of business on 07 April 2026 are entitled to notice of and to vote at said meeting and any adjournment thereof. The Stock and Transfer Books of the Company will be closed from 07 April 2026 to 07 May 2026.

Thank You.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTS Global Equity Group, Inc.


.....
SHARON T. LIM
Corporate Secretary

14 November 2025

Date

CTS Global Equity Group, Inc.
Proposed Amendments to the By-Laws

FROM	TO
ARTICLE III – THE BOARD OF DIRECTORS	
1. Qualification and Election – The general management of the Corporation shall be vested in a Board of Directors composed of eleven (11) directors, a minimum of three (3) shall be Independent Directors, to be elected annually by the stockholders and who shall serve until the election and qualification of their successors. An “independent director” is a person who, apart from their fees and shareholdings, which shareholdings do not exceed two percent (2%) of the shares of the Corporation and/or its related companies or any of its substantial shareholders, is independent of management and free from any business or other relationship which could, or could reasonably be perceived to, materially interfere with their exercise of independent judgment in carrying out their responsibilities as a director of the Corporation.	1. Qualification and Election – The general management of the Corporation shall be vested in a Board of Directors composed of eleven (11) directors, a minimum of three (3) shall be Independent Directors, to be elected annually by the stockholders and who shall serve until the election and qualification of their successors; <u>provided that, subject to applicable laws and regulations, independent directors may be elected for a term not exceeding three (3) years.</u> An “independent director” is a person who, apart from their fees and shareholdings, which shareholdings do not exceed two percent (2%) of the shares of the Corporation and/or its related companies or any of its substantial shareholders, is independent of management and free from any business or other relationship which could, or could reasonably be perceived to, materially interfere with their exercise of independent judgment in carrying out their responsibilities as a director of the Corporation.